

# Ex-ante contributions to the Single Resolution Fund - reporting form for the 2024 contribution period

## 1. General information

### A. Identification of the institution

Field ID	Field	Format (maximum characters)	Value
1A1	Name of the institution	Text (255)	
1A2	Address of the institution	Text (150)	
1A3	Postal code of the institution	Text (15)	
1A4	City of the institution	Text (50)	
1A5	Country of registration of the institution	Text (2)	
1A6	RIAD code of the institution (for credit institutions only) or SRB identifier where a RIAD code is not available	Alphanumeric (30)	
1A7	LEI code of the institution	Alphanumeric (20) / Not applicable	
1A8	National identifier code of the institution	Text (30)	

### B. Contact person for this reporting form

Field ID	Field	Format	Value
1B1	First name of the contact person	Text (50)	
1B2	Family name of the contact person	Text (50)	
1B3	Email address of the contact person	Text (255)	
1B4	Alternative e-mail address	Text (255)	
1B5	Phone number	Number	

### C. Identification of possible specificities for the calculation of the individual annual contribution

Field ID	Field	Format	Value
1C1	Is the institution a credit institution, as defined for this field?	Yes / No	
1C2	Is the institution a central body, as defined for this field?	Yes / No	
1C3	Is the institution member of an 'Institutional Protection Scheme' (IPS)?	Yes / No	
1C4	Has the competent authority granted the permission referred to in Article 113(7) of the CRR to the institution? (only to fill in if the value to the field above is 'Yes'. Otherwise 'Not applicable')	Yes / No / Not applicable	
1C5	Is the institution a central counterparty (CCP), as defined for this field?	Yes / No	
1C6	Is the institution a central securities depository (CSD), as defined for this field?	Yes / No	
1C7	Is the institution an investment firm, as defined for this field?	Yes / No	
1C8	Is the institution an investment firm authorized to carry out only the limited services and activities listed in the guidance document for this field?	Yes / No	
1C9	Is the institution operating promotional loans, as defined for this field?	Yes / No	
1C10	Is the institution a mortgage credit institution financed by covered bonds, as defined for this field?	Yes / No	

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1. General information

D. Newly supervised institutions and mergers

Field ID	Field	Format	Value
1D1	Start date of supervision (only if it is in the course of the year prior to the contributions period)	YYYY-MM-DD / Blank (if not applicable)	
1D2	Has the institution merged with another institution after the reference date?	Yes / No	

E. Reference date for the reporting form

Field ID	Field	Format	Value
1E1	Reference date for the present reporting form	YYYY-MM-DD	

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## 2. Basic annual contribution

This tab consists of the following sections:

[A. Basic annual contribution before adjustment of liabilities arising from derivative contracts \(excluding credit derivatives\)](#)

[B. Simplified calculation methods](#)

[C. Adjustment of liabilities arising from derivative contracts \(excluding credit derivatives\)](#)

### Section A. Basic annual contribution before adjustment of liabilities arising from derivative contracts (excluding credit derivatives)

Legal references for this section: Articles 3, 4, 16 and 17 of Delegated Regulation

Field ID	Field	Format (maximum characters)	Value
2A1	Total liabilities, as defined for this field	Amount (15)	
2A2	Own funds, as defined for this field	Amount (15)	
2A3	Covered deposits, yearly average of the quarterly calculated amounts, as defined for this field	Amount (15)	

### Section B. Simplified calculation methods

Legal references for this section: Articles 3, 10 and 11 of Delegated Regulation

*Considering that in most cases small institutions do not pose systemic risk and are less likely to be placed under resolution, which consequently decreases the likelihood that they benefit from the resolution financing arrangements, compared to large institutions, the annual contributions of small institutions should consist of a lump-sum based only on their basic annual contribution, proportioned to their size. However, the small institution does not qualify anymore for this simplified approach if it has a particularly high risk profile. In the latter case, the whole reporting form must be filled in by the small institution (tabs 1 to 4).*

Field ID	Field	Format	Value
2B1	Has your institution been invited by the SRB to complete the full Reporting Form with a view to performing an assessment pursuant to Article 10(8) of the Delegated Regulation?	Yes / No	
2B2	Does the institution qualify for the simplified lump-sum annual contribution for small institutions?	Yes / No	
2B3	Does the institution opt for the calculation of an alternative individual annual contribution amount and provide the necessary information? ('Not applicable' only applies if the value to the field 2B2 above is 'No')	Yes / No / Not applicable	

*If the value of '2B2' is 'Yes' then no more information is needed from the institution (the resolution authority after assessment of the risk profile could ask for additional information). However, if the institution selects 'Yes' in 2B3, it must fill in the rest of the tab 2 and the tab 3 (Deductions, when applicable).*

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### 2. Basic annual contribution

#### Section C. Determination of the exposure value of the derivate contracts (excluding credit derivatives)

Legal references for this section: Articles 3(22) and 5a to 5e of Delegated Regulation

*Accounting of derivatives is not harmonized in the Union with respect to individual accounts and therefore this could have an implication in the amount of liabilities to be considered for the calculation of the contributions of each institution. As a consequence, the liabilities arising from derivatives shall be 're-evaluated' by determining the exposure value of derivatives as described in Articles 5a to 5e of Delegated Regulation. In this section, the on-balance sheet accounting value of liabilities arising from derivatives (included in the total liabilities reported in the section A) is carved out and replaced by a value calculated in accordance with Articles 5a to 5e of Delegated Regulation and to which a floor is applied.*

Field ID	Field	Format (maximum characters)	Value
2C1	Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with Articles 5a to 5e of Delegated Regulation (yearly average of the quarterly calculated amounts).	Amount (15)	
2C2	Accounting value of liabilities arising from all derivative contracts (excluding credit derivatives) booked on-balance sheet, when applicable	Amount (15)	
2C3	Accounting value of liabilities arising from all derivative contracts (excluding credit derivatives) held off-balance sheet, when applicable	Amount (15)	
2C4	Total accounting value of liabilities arising from all derivative contracts (excluding credit derivatives)	Amount	
2C5	Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with Articles 5a to 5e of Delegated Regulation after floor	Amount	
2C6	Total liabilities after adjustment of liabilities arising from all derivative contracts (excluding credit derivatives)	Amount	

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### 3. Deductions

This tab allows to fill in qualifying items for deduction from the adjusted total liabilities (2C6 field) in accordance with the Article 5 of Delegated Regulation.

**Please remember that the derivative adjustment in tab 2 (Section C) should be filled in to compute final deductible amounts.**

**Important message: the same transaction can only be deducted ONCE from the total adjusted liabilities even if it matches several of the categories below.**

This tab consists of the following sections:

[A. Deductible amount of qualifying liabilities related to clearing activities](#)

[B. Deductible amount of qualifying liabilities related to the activities of a central securities depository \(CSD\)](#)

[C. Deductible amount of qualifying liabilities that arise by virtue of holding client assets or client money](#)

[D. Deductible amount of qualifying liabilities that arise from promotional loans](#)

[E. Deductible amount of assets and liabilities arising from qualifying Institutional Protection Scheme \(IPS\) liabilities](#)

[F. Deductible amount of assets and liabilities arising from qualifying intragroup liabilities](#)

[G. Simplified calculation methods](#)

*Only applies to a central counterparty (CCP) -  
See 1C5 field*

*Only applies to a central securities depository  
(CSD) - See 1C6 field*

*Only applies to an investment firm - See 1C7  
field*

*Only applies to an institution operating  
promotional loans - See 1C9 field*

*Only applies to an authorised IPS member - See  
1C3 and 1C4 fields*

*Only applies to an entity that is part of a group*

*Only applies to some entities - See 1C8 and 1C10  
fields*

## Ex-ante contributions to the Single Resolution Fund - reporting form for the 2024 contribution period

### 3. Deductions

#### Section A. Deductible amount of qualifying liabilities related to clearing activities

Legal references for this section: Articles 3(25) and 5(c) of Delegated Regulation

This section only applies to a central counterparty (CCP) as defined in the field '1C5' in the tab '1. General information'.

#### Sub-section A.i) Adjustment of qualifying liabilities related to clearing activities arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

Field ID	Field	Format (maximum characters)	Value
2C1	Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with Articles 5a to 5e of Delegated Regulation (yearly average of the quarterly calculated amounts).	Amount	
3A1	Of which: qualifying liabilities arising from derivatives related to clearing activities (yearly average of the quarterly calculated amounts).	Amount (15)	
3A2	Of which: liabilities arising from derivatives not related to clearing activities (yearly average of the quarterly calculated amounts).	Amount	
3A3	Derivative floor factor	0.0000	
3A4	Adjusted value of qualifying liabilities related to clearing activities arising from derivatives	Amount	

#### Sub-section A.ii) Total qualifying liabilities related to clearing activities held by the institution

The adjusted value of qualifying liabilities related to clearing activities arising from derivatives calculated above must be added to the accounting value of qualifying liabilities related to clearing activities not arising from derivatives.

Field ID	Field	Format (maximum characters)	Value
3A5	Total accounting value of qualifying liabilities related to clearing activities	Amount (15)	
3A6	Of which: arising from derivatives	Amount (15)	
3A7	Of which: not arising from derivatives	Amount	
3A8	<b>Total deductible amount of qualifying liabilities related to clearing activities</b>	Amount	

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### 3. Deductions

#### Section B. Deductible amount of qualifying liabilities related to the activities of a central securities depository (CSD)

Legal references for this section: Articles 3(22) and Articles 5(d) of Delegated Regulation

This section only applies to a Central securities depository (CSD) as defined in the field '1C6' in the tab '1. General information'.

#### Sub-section B.i) Adjustment of qualifying liabilities related to the activities of a central securities depository (CSD) arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

Field ID	Field	Format (maximum characters)	Value
2C1	Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with Articles 5a to 5e of Delegated Regulation (yearly average of the quarterly calculated amounts).	Amount	
3B1	Of which: qualifying liabilities arising from derivatives related to CSD activities (yearly average of the quarterly calculated amounts).	Amount (15)	
3B2	Of which: liabilities arising from derivatives not related to CSD activities (yearly average of the quarterly calculated amounts).	Amount	
3B3	Derivative floor factor	0.0000	
3B4	Adjusted value of qualifying liabilities related to CSD activities arising from derivatives	Amount	

#### Sub-section B.ii) Total qualifying liabilities related to the activities of a CSD held by the institution

The adjusted value of qualifying liabilities related to the activities of a CSD arising from derivatives calculated above must be added to the accounting value of qualifying liabilities related to the activities of a CSD not arising from derivatives.

Field ID	Field	Format (maximum characters)	Value
3B5	Total accounting value of qualifying liabilities related to CSD activities	Amount (15)	
3B6	Of which: arising from derivatives	Amount (15)	
3B7	Of which: not arising from derivatives	Amount	
3B8	<b>Total deductible amount of qualifying liabilities related to CSD activities</b>	Amount	

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### 3. Deductions

#### Section C. Deductible amount of qualifying liabilities that arise by virtue of holding client assets or client money

Legal references for this section: 5(e) of Delegated Regulation

This section only applies to investment firms as defined in the field '1C7' in the tab '1. General information'.

#### Sub-section C.i) Adjustment of qualifying liabilities that arise by virtue of holding client assets or client money arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

Field ID	Field	Format (maximum characters)	Value
2C1	Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with Articles 5a to 5e of Delegated Regulation (yearly average of the quarterly calculated amounts).	Amount	
3C1	Of which: qualifying liabilities arising from derivatives that arise by virtue of holding client assets or client money (yearly average of the quarterly calculated amounts).	Amount (15)	
3C2	Of which: liabilities arising from derivatives that do not arise by virtue of holding client assets or client money (yearly average of the quarterly calculated amounts).	Amount	
3C3	Derivative floor factor	0.0000	
3C4	Adjusted value of qualifying liabilities that arise by virtue of holding client assets or client money arising from derivatives	Amount	

#### Sub-section C.ii) Total qualifying liabilities that arise by virtue of holding client assets or client money held by the institution

The adjusted value of qualifying liabilities that arise by virtue of holding client assets or client money arising from derivatives calculated above must be added to the accounting value of qualifying liabilities that arise by virtue of holding client assets or client money not arising from derivatives.

Field ID	Field	Format (maximum characters)	Value
3C5	Total accounting value of qualifying liabilities that arise by virtue of holding client assets or client money	Amount (15)	
3C6	Of which: arising from derivatives	Amount (15)	
3C7	Of which: not arising from derivatives	Amount	
3C8	<b>Total deductible amount of qualifying liabilities that arise by virtue of holding client assets or client money</b>	Amount	



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### 3. Deductions

#### Section D. Deductible amount of qualifying liabilities that arise from promotional loans

Legal references for this section: Articles 3(28) and 5(f) of Delegated Regulation

This section only applies to qualifying institutions operating promotional loans. See definitions in the field '1C9' in the tab '1. General information'.

#### Sub-section D.i) Adjustment of qualifying liabilities that arise from promotional loans arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

Field ID	Field	Format (maximum characters)	Value
2C1	Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with Articles 5a to 5e of Delegated Regulation (yearly average of the quarterly calculated amounts).	Amount	
3D1	Of which: qualifying liabilities arising from derivatives that arise from promotional loans (yearly average of the quarterly calculated amounts).	Amount (15)	
3D2	Of which: liabilities arising from derivatives that do not arise from promotional loans (yearly average of the quarterly calculated amounts).	Amount	
3D3	Derivative floor factor	0.0000	
3D4	Adjusted value of qualifying liabilities that arise from promotional loans arising from derivatives	Amount	

#### Sub-section D.ii) Total qualifying liabilities that arise from promotional loans held by the institution

The adjusted value of qualifying liabilities that arise from promotional loans arising from derivatives calculated above must be added to the accounting amount of qualifying liabilities that arise from promotional loans not arising from derivatives.

Field ID	Field	Format (maximum characters)	Value
3D5	Total accounting value of qualifying liabilities that arise from promotional loans	Amount (15)	
3D6	Of which: arising from derivatives	Amount (15)	
3D7	Of which: not arising from derivatives	Amount	
3D8	<b>Total deductible amount of qualifying liabilities that arise from promotional loans</b>	Amount	

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### 3. Deductions

#### Section E. Deductible amount of assets and liabilities arising from qualifying Institutional Protection Scheme (IPS) liabilities

Legal references for this section: Article 5(b) of Delegated Regulation

This section only applies to an institution which is member of an IPS as defined in the tab 1 fields '1C3' and '1C4'.

##### Sub-section E.i) Adjustment of qualifying IPS liabilities arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

Field ID	Field	Format (maximum characters)	Value
2C1	Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with Articles 5a to 5e of Delegated Regulation (yearly average of the quarterly calculated amounts).	Amount	
3E1	Of which: qualifying IPS liabilities arising from derivatives that arise from a qualifying IPS member (yearly average of the quarterly calculated amounts).	Amount (15)	
3E2	Of which: non qualifying IPS liabilities arising from derivatives (yearly average of the quarterly calculated amounts).	Amount	
3E3	Derivative floor factor	0.0000	
3E4	Adjusted value of qualifying IPS liabilities arising from derivatives that arise from a qualifying IPS member	Amount	

##### Sub-section E.ii) Total qualifying IPS liabilities held by the institution

The adjusted value of qualifying IPS liabilities arising from derivatives calculated above must be added to the accounting value of qualifying IPS liabilities not arising from derivatives.

Field ID	Field	Format (maximum characters)	Value
3E5	Total accounting value of qualifying IPS liabilities	Amount (15)	
3E6	Of which: arising from derivatives	Amount (15)	
3E7	Of which: not arising from derivatives	Amount	
3E8	Adjusted value of total qualifying IPS liabilities	Amount	

##### Sub-section E.iii) Assets arising from qualifying IPS liabilities

Article 5(2) of the Delegated Regulation requires qualifying IPS liabilities to be evenly deducted on a transaction by transaction basis from the amount of total liabilities of each IPS member. Consequently, assets held by the institution that arise from qualifying IPS liabilities must be evenly deducted.

Field ID	Field	Format (maximum characters)	Value
3E9	Total accounting value of qualifying IPS assets held by the qualifying IPS member	Amount (15)	
3E10	Adjusted value of total qualifying IPS assets (yearly average of the quarterly calculated amounts).	Amount (15)	

##### Sub-section E.iv) Total deductible amount of assets and liabilities arising from qualifying IPS liabilities

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3. Deductions

Article 5(2) of the Delegated Regulation requires qualifying IPS liabilities to be evenly deducted on a transaction by transaction basis from the amount of total liabilities of each IPS member. Consequently, assets and liabilities held by the institution that arise from qualifying IPS liabilities must be evenly deducted.

Field ID	Field	Format	Value
3E11	Total deductible amount of assets and liabilities arising from qualifying IPS liabilities	Amount	

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### 3. Deductions

#### Section F. Deductible amount of assets and liabilities arising from qualifying intragroup liabilities

Legal references for this section: Article 5(a) of Delegated Regulation

##### Sub-section F.i) Adjustment of qualifying intragroup liabilities arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

Field ID	Field	Format (maximum characters)	Value
2C1	Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with Articles 5a to 5e of Delegated Regulation (yearly average of the quarterly calculated amounts).	Amount (15)	
3F1	Of which: qualifying intragroup liabilities arising from derivatives (yearly average of the quarterly calculated amounts).	Amount (15)	
3F2	Of which: liabilities arising from derivatives that are not intragroup (yearly average of the quarterly calculated amounts).	Amount	
3F3	Derivative floor factor	0.0000	
3F4	Adjusted value of qualifying intragroup liabilities arising from derivatives	Amount	

##### Sub-section F.ii) Total qualifying intragroup liabilities held by the institution

The adjusted value of qualifying intragroup liabilities arising from derivatives calculated above must be added to the accounting value of qualifying intragroup liabilities not arising from derivatives.

Field ID	Field	Format (maximum characters)	Value
3F5	Total accounting value of qualifying intragroup liabilities	Amount (15)	
3F6	Of which: arising from derivatives	Amount (15)	
3F7	Of which: not arising from derivatives	Amount	
3F8	Adjusted value of total qualifying intragroup liabilities	Amount	

##### Sub-section F.iii) Assets arising from qualifying intragroup liabilities

Article 5(2) of the Delegated Regulation requires qualifying intragroup liabilities to be evenly deducted on a transaction by transaction basis from the amount of total liabilities of each qualifying group counterpart. Consequently, assets held by the institution that arise from qualifying intragroup liabilities must be evenly deducted.

Field ID	Field	Format (maximum characters)	Value
3F9	Total accounting value of qualifying intragroup assets held by the institution	Amount (15)	
3F10	Adjusted value of total qualifying intragroup assets (yearly average of the quarterly calculated amounts).	Amount (15)	

##### Sub-section F.iv) Total deductible amount of assets and liabilities arising from qualifying intragroup liabilities

Article 5(2) of the Delegated Regulation requires qualifying intragroup liabilities to be evenly deducted on a transaction by transaction basis from the amount of total liabilities of each qualifying group counterpart. Consequently, assets and liabilities held by the institution that arise from qualifying intragroup liabilities must be evenly deducted.

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3. Deductions

Field ID	Field	Format (maximum characters)	Value
3F11	Total deductible amount of assets and liabilities arising from qualifying intragroup liabilities	Amount	

## Ex-ante contributions to the Single Resolution Fund - reporting form for the 2024 contribution period

### 3. Deductions

#### Section G. Simplified calculation methods

Legal references for this section: Articles 3, 10 and 11 of Delegated Regulation

##### Sub-section G.i) Simplified method for investment firms authorized to carry out only limited services and activities

*Certain investment firms in scope which are authorized to carry out only limited services and activities are not subject or may be exempted from certain capital and liquidity requirements. Consequently, many of the risk adjustment metrics in the tab '4. Risk adjustment' would not apply to them. A specific simplified calculation method is therefore applied to these institutions.*

Field ID	Field	Format	Value
1C8	Is the institution an investment firm authorized to carry out only the limited services and activities listed in the guidance document for this field?	Yes / No	

*If the value to the field '1C8' above is 'Yes' then no more information is needed from the institution.  
Otherwise, please move to the next field.*

##### Sub-section G.ii) Simplified calculation method for qualifying mortgage credit institutions financed by covered bonds

*Mortgage credit institutions financed by covered bonds as defined in Article 45a of the BRRD will not be recapitalized through the use of the resolution financing arrangements. Consequently, in case their size does not allow them to qualify for the simplified lump-sum approach applied to small institutions (see section B.ii above), 50% will be applied on their basic annual contribution. However, if their risk profile is similar or above the one of an institution that has used the resolution financing arrangement, then the individual annual contribution will not be calculated by applying the simplified lump-sum approach nor the 50% on the basic annual contribution, and the whole reporting form must be filled in by the institution (tabs 1 to 4).*

Field ID	Field	Format	Value
1C10	Is the institution a mortgage credit institution financed by covered bonds, as defined for this field?	Yes / No	

*If the value to the field '1C10' above is 'Yes' then no more information is needed from the institution (the resolution authority after assessment of the risk profile could ask for additional information).  
Otherwise, please move to the next tab.*

## Ex-ante contributions to the Single Resolution Fund - reporting form for the 2024 contribution period

### 4. Risk adjustment

This tab collects the information in order to adjust the basic annual contribution (tab 2) in proportion to the risk profile of the institution.

Institutions should refer to the tab "Instructions" regarding waivers for the fields 4A1, 4A8, 4B1, 4B7, 4C1.

This tab consists of risk indicators grouped in the following risk pillars:

[A. Risk exposure](#)

[B. Stability and variety of sources of funding](#)

[C. Importance of an institution to the stability of the financial system or economy](#)

[D. Additional risk indicators to be determined by the resolution authority](#)

#### Section A. 'Risk exposure' pillar

Articles 6(2), 8 and Annex I Step 1 of Delegated Regulation

Risk indicator A.i) Own funds and eligible liabilities held by the institution in excess of Minimum Requirement for own funds and Eligible Liabilities (MREL)

Field ID	Field	Format (maximum characters)	Value
4A19	Preferred Resolution Strategy	Liquidation / Resolution / Blank (if not available)	
4A20	Total SREP capital requirement	Amount (15)	

The Fields below should only be completed when the Preferred Resolution Strategy (4A19) is "Liquidation"

4A21	MREL <sub>TREA</sub> requirement	Amount (15)	
4A22	MREL <sub>TEM</sub> requirement	Amount (15)	
4A23	Total Liabilities, including own funds (at the reporting level of the MREL requirement)	Amount (15)	
4A24	Own funds and eligible liabilities (at the reporting level of the MREL requirement)	Amount (15)	
4A25	Other bail-inable liabilities (at the reporting level of the MREL requirement)	Amount (15)	

The Field below should only be completed when the Preferred Resolution Strategy (4A19) is "Resolution"

4A26	Is the institution part of a Resolution Group ?	Yes / No	
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The Fields below should only be completed when the Preferred Resolution Strategy (4A19) is "Resolution" and the institution is not part of a Resolution Group (4A26 = "No")

4A27	External MREL <sub>TREA</sub> requirement	Amount (15)	
4A28	External MREL <sub>TEM</sub> requirement	Amount (15)	
4A29	Total Liabilities, including own funds (at the reporting level of the external MREL requirement)	Amount (15)	
4A30	Own funds and eligible liabilities (at the reporting level of the external MREL requirement)	Amount (15)	
4A31	Other bail-inable liabilities (at the reporting level of the external MREL requirement)	Amount (15)	

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### 4. Risk adjustment

The Fields below should only be completed when the Preferred Resolution Strategy (4A19) is "Resolution" and the institution is part of a Resolution Group (4A26 = "Yes")			
4A32	Group Resolution Strategy	MPE / SPE	
4A33	Is the institution a Point of Entry ("PoE") in case of (group) resolution ?	Yes / No	

The Fields below should only be completed when the Preferred Resolution Strategy (4A19) is "Resolution" and the institution is part of a Resolution Group (4A26 = "Yes") and the institution is a Point of Entry (4A33 = "Yes")			
4A34	External MREL <sub>TREA</sub> requirement	Amount (15)	
4A35	External MREL <sub>TEM</sub> requirement	Amount (15)	
4A36	Total Liabilities, including own funds (at the reporting level of the external MREL requirement)	Amount (15)	
4A37	Own funds and eligible liabilities (at the reporting level of the external MREL requirement)	Amount (15)	
4A38	Other bail-inable liabilities (at the reporting level of the external MREL requirement)	Amount (15)	

If the Group Resolution Strategy (4A32) is "MPE"			
4A39	LEI code of the institution that is the Point of Entry in case of (group) resolution, at the ultimate consolidated group level	Alphanumeric (20) / Blank (if not applicable)	
4A40	Country of registration of the institution that is the Point of Entry in case of (group) resolution, at the ultimate consolidated group level	Text (2) ISO 3166-1 alpha-2	

The Fields below should only be completed when the Preferred Resolution Strategy (4A19) is "Resolution" and the institution is part of a Resolution Group (4A26 = "Yes") and the institution is not a Point of Entry (4A33 = "No")			
4A41	Internal MREL <sub>TREA</sub> requirement	Amount (15)	
4A42	Internal MREL <sub>TEM</sub> requirement	Amount (15)	
4A43	Total Liabilities, including own funds (at the reporting level of the internal MREL requirement)	Amount (15)	
4A44	Eligible own funds and eligible liabilities (at the reporting level of the internal MREL requirement)	Amount (15)	
4A45	Other bail-inable liabilities (at the reporting level of the internal MREL requirement)	Amount (15)	
4A46	LEI code of the institution that is the (direct) Point of Entry in case of (group) resolution	Alphanumeric (20)	
4A47	Country of registration of the institution that is the (direct) Point of Entry in case of (group) resolution	Text (2) ISO 3166-1 alpha-2	
4A48	External MREL <sub>TREA</sub> requirement of the institution that is the (direct) Point of Entry in case of (group) resolution	Amount (15)	
4A49	External MREL <sub>TEM</sub> requirement of the institution that is the (direct) Point of Entry in case of (group) resolution	Amount (15)	
4A50	Total Liabilities, including own funds (at the reporting level of the external MREL requirement)	Amount (15)	
4A51	Own funds and eligible liabilities (at the reporting level of the external MREL requirement)	Amount (15)	
4A52	Other bail-inable liabilities (at the reporting level of the external MREL requirement)	Amount (15)	
If the Group Resolution Strategy (4A32) is "MPE"			
4A53	LEI code of the institution that is the Point of Entry in case of (group) resolution, at the ultimate consolidated group level	Alphanumeric (20) / Blank (if not applicable)	
4A54	Country of registration of the institution that is the Point of Entry in case of (group) resolution, at the ultimate consolidated group level	Text (2) ISO 3166-1 alpha-2	



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### 4. Risk adjustment

#### Risk indicator A.ii) Leverage ratio

Field ID	Field	Format (maximum characters)	Value
4A1	Has the competent authority granted a waiver from the application of the Leverage ratio risk indicator to the institution at individual level?	Yes / No	
4A2	Reporting level of the Leverage ratio risk indicator	Individual/ Sub-consolidated / Consolidated	
4A3	Name of the parent (only in case of waiver)	Text (255)	
4A4	LEI code of the parent (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4A6	LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4A7	Leverage ratio, at the reporting level selected in Field ID 4A2	0.0000	

#### Risk indicator A.iii) Common Equity Tier 1 Capital Ratio (CET1 ratio)

Field ID	Field	Format (maximum characters)	Value
4A8	Has the competent authority granted a waiver from the application of the CET1 ratio risk indicator to the institution at individual level?	Yes / No	
4A9	Reporting level of the CET1 ratio risk indicator	Individual/ Sub-consolidated / Consolidated	
4A10	Name of the parent (only in case of waiver)	Text (255)	
4A11	LEI code of the parent (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4A13	LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4A14	CET1 capital, at the reporting level selected in Field ID 4A9	Amount (15)	
4A15	Total Risk Exposure, at the reporting level selected in Field ID 4A9	Amount (15)	
4A16	CET1 ratio, at the reporting level selected in Field ID 4A9	0.0000	

#### Risk indicator A.iv) Total Risk Exposure divided by Total Assets (TRE/TA)

Field ID	Field	Format (maximum characters)	Value
4A17	Total assets, at the reporting level selected in Field ID 4A9	Amount (15)	
4A18	TRE/TA, at the reporting level selected in Field ID 4A9	0.0000	

## Ex-ante contributions to the Single Resolution Fund - reporting form for the 2024 contribution period

### 4. Risk adjustment

#### Section B. 'Stability and variety of sources of funding' pillar

Articles 6(3), 8 and Annex I Step 1 of Delegated Regulation

##### Risk indicator B.i) Liquidity Coverage Ratio (LCR)

Field ID	Field	Format (maximum characters)	Value
4B1	Has the competent authority granted a waiver from the application of the LCR risk indicator to the institution at individual level?	Yes / No	
4B2	Reporting level of the LCR risk indicator	Individual/ Sub-consolidated / Consolidated	
4B3	Name of the parent (only in case of waiver)	Text (255)	
4B4	LEI code of the parent (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4B5	LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4B6	LCR, at the reporting level selected in Field ID 4B2	0.0000	

##### Risk indicator B.ii) Net Stable Funding Ratio (NSFR)

Field ID	Field	Format (maximum characters)	Value
4B7	Has the competent authority granted a waiver from the application of the NSFR risk indicator to the institution at individual level?	Yes / No	
4B8	Reporting level of the NSFR risk indicator	Individual/ Sub-consolidated / Consolidated	
4B9	Name of the parent (only in case of waiver)	Text (255)	
4B10	LEI code of the parent (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4B11	LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4B12	NSFR, at the reporting level selected in Field ID 4B8	0.0000	

## Ex-ante contributions to the Single Resolution Fund - reporting form for the 2024 contribution period

### 4. Risk adjustment

#### Section C. 'Importance of an institution to the stability of the financial system or economy' pillar

Article 6(4) and Annex I Step 1 of Delegated Regulation

##### C. 'Share of interbank loans and deposits in the EU' pillar

Field ID	Field	Format (maximum characters)	Value
4C1	Has the competent authority granted a waiver from the reporting requirement to the institution at individual level?	Yes / No	
4C2	Reporting level of the risk indicator	Individual/ Sub-consolidated / Consolidated	
4C3	Name of the parent (only in case of waiver)	Text (255)	
4C4	LEI code of the parent (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4C5	LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver)	Alphanumeric (20) / Blank (if not applicable)	
4C6	Total amount of interbank loans at the reporting level selected in Field ID 4C2	Amount (15)	
4C7	Total amount of interbank deposits at the reporting level selected in Field ID 4C2	Amount (15)	
4C8	Total of reported interbank loans and deposits at the reporting level selected in Field ID 4C2	Amount (15)	

## Ex-ante contributions to the Single Resolution Fund - reporting form for the 2024 contribution period

### 4. Risk adjustment

#### Section D. 'Additional risk indicators to be determined by the resolution authority' pillar

Article 6(5-9) of Delegated Regulation

**Additional risk indicator D.i) Trading activities, expressed as Risk exposure amount for market risk on traded debt instruments and equity out of: a) Total Risk Exposure, b) CET1 Capital and c) Total Assets**

Field ID	Field	Format (maximum characters)	Value
4D1	Risk exposure amount for market risk on traded debt instruments and equity, at the reporting level selected in Field ID 4A9	Amount (15)	
4D2	a) Divided by Total Risk Exposure	0.0000	
4D3	b) Divided by CET1	0.0000	
4D4	c) Divided by Total Assets	0.0000	

**Additional risk indicator D.ii) Off-balance sheet nominal amount out of: a) Total Risk Exposure, b) CET1 Capital and c) Total Assets**

Field ID	Field	Format (maximum characters)	Value
4D5	Total off-balance sheet nominal amount, at the reporting level selected in Field ID 4A9	Amount (15)	
4D6	a) Divided by Total Risk Exposure	0.0000	
4D7	b) Divided by CET1	0.0000	
4D8	c) Divided by Total Assets	0.0000	

**Additional risk indicator D.iii) Total derivative exposures out of: a) Total Risk Exposure, b) CET1 Capital and c) Total Assets**

Field ID	Field	Format (maximum characters)	Value
4D9	Total derivative exposure, at the reporting level selected in Field ID 4A9	Amount (15)	
4D10	Of which: derivatives cleared through a central counterparty (CCP), at the reporting level selected in Field ID 4D9	Amount (15)	
4D11	a) Divided by Total Risk Exposure	0.0000	
4D12	b) Divided by CET1	0.0000	
4D13	c) Divided by Total Assets	0.0000	

**Additional risk indicator D.iv) Complexity and resolvability**

*Any application of this risk indicator will be based on the Resolvability Assessment conducted by the Resolution Authority.*

## Ex-ante contributions to the Single Resolution Fund - reporting form for the 2024 contribution period

### 4. Risk adjustment

#### Additional risk indicator D.v) Membership in an Institutional Protection Scheme (IPS)

Field ID	Field	Format (maximum characters)	Value
1C3	Is the institution member of an 'Institutional Protection Scheme' (IPS)?	Yes / No	
1C4	Has the competent authority granted the permission referred to in Article 113(7) of the CRR to the institution? (only to fill in if the value to the field above is 'Yes'. Otherwise 'Not applicable')	Yes / No / Not applicable	
4D14	Name of the IPS (only if Yes above)	Text	

#### Additional risk indicator D.vi) Extent of previous extraordinary public financial support

Field ID	Field	Format (maximum characters)	Value
4D17	Does the institution benefit from previous extraordinary public financial support (and meet the three conditions specified for this field at the reference date (see definitions and guidance)) ?	Yes / No	
4D18	For institutions that are part of a group: Name of the EU parent (to fill in even if 'No' above)	Text (255)	
4D19	For institutions that are part of a group: LEI code of the EU parent (to fill in even if 'No' above)	Alphanumeric (20) / Blank (if not applicable)	