Annex IV



PART 4 Data on exemptions (1) (year 2021)

	Exemption granted to investment firms	Total number of exemption granted
010	Exemption from the application on an individual basis of prudential requirements set out in Article 5 in respect of parts 2, 3, 4, 6 and 7 of Regulation (EU) 2019/2033 (Article 6(1) (waivers for subsidiaries)(2))	0.00
020	Exemption from the application on an individual basis of prudential requirements set out in Article 5 in respect of part 6 of Regulation (EU) 2019/2033 (Article 6(2) (waivers for subsidiaries)(2))	0.00
030	Exemption from the application on an individual basis of prudential requirements set out in Article 5 in respect of part 5 of Regulation (EU) 2019/2033 (Article 6(3) (liquidity waivers for subsidiaries))	0.00
040	Exemption from the application on a consolidated basis of prudential requirements in respect of part 5 of Regulation (EU) 2019/2033 (Article 7(4) (prudential consolidation))	
050	Total number of permissions granted	0.00
060	Total amount of consolidated own funds held in the subsidiaries established in third countries (in EUR millions)	0.00
070	Percentage of the total consolidated own funds held in subsidiaries established in third countries (%)	0.00
080	Percentage of the consolidated own funds requirements allocated to subsidiaries established in third countries (%)	0.00

⁽¹⁾ Competent authorities shall report information on exemptions practices based on the total number of exemptions by the competent authority, which are still effective or in force. The information to be reported is limited to those entities granted an exemption. Where the information is not available, i.e. not part of the regular reporting, it shall be reported as 'N/A'.

⁽²⁾ The number of investment firms which have been granted the exemption shall be used as a basis for counting the exemptions.