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| **Irish AIFM managing EU AIFs in another member state** **(Article 33/Regulation 34)** |
| **Name of AIFM:** |  |

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| **Name of EU AIF(s):** |  |
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| **Member State in which the AIFM intends to operate:** |  |
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| **Documents/Confirmations to be submitted** | Answer |
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| **1.** | 1. Notification letter in respect of the EU AIF(s) which the AIFM intends to manage
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| **2.** | Letter of confirmation from the AIFM confirming that the AIFM will at all times comply with the obligations imposed on it by the provisions of AIFMD, the Commission Delegated Regulation (EU) No 231/2013 and the AIFM Regulations |  |
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| **3.** | Does the AIFM intend to also provide cross-border services in accordance with Art 6.4 of AIFMD? If ‘Yes’ the letter at point (2) above must contain: 1. Confirmation that the AIFM has appointed adequate resources to execute and oversee these activities; and
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|  | 1. If the AIFM has contractual arrangements with delegates in another Member state, confirmation should be provided that the Central Bank will have access to these entities.

If ‘No’, question 3 is not applicable |  |
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| **4.** | The Programme of Operations is attached and includes sufficient information to meet the applicable requirements set out in the section entitled “Fund management company passport – General” in Part VI of the Central Bank’s Fund Management Companies – Guidance and Regulation 34 of the AIFM Regulations and that the Member State in which the AIFM intends to manage EU AIF(s) directly is clearly listed. |  |
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| **5.**  | Confirm that each EU AIF does not have the same entity acting as administrator and depositary. |  |
|  | **Administrator:****Depositary:** |  |
|  | If the administrator and the depositary are the same entity, provide an organisational chart which demonstrates that the functions are functionally and hierarchically separate. |  |
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| **6.** | Confirm that the Central Bank will be informed if the AIFM intends to cease managing the EU AIF(s) detailed in this notification form. |  |
| **7.** | Is the AIF regulated? Yes/No |  |
| **8.** | If the AIF is not regulated, confirm that it is established. |  |
| **9.** | If the AIF is regulated, confirm the date of authorisation of the AIF(s). |  |

**Programme of Operations:**

**For the programme of operations provided, please provide a page and paragraph reference where the following information is contained within the document:**

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| **Corporate governance** | **Page and Paragraph Number** |
| 1. | Details of the availability of the AIFM to attend board meetings of the EU AIF(s) |  |
| 2. | Details that the AIFM has internal knowledge of local regulatory regime applicable in the home state of the EU AIF(s) |  |
| 3. | Detail procedures for reporting of breaches, monitoring compliance with applicable regulatory requirements and dealing with service provider issues in the home state of the EU AIF(s)? |  |
| 4. | Details in relation to any impact that there is on minimum capital requirements of the AIFM |  |
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| **Administration function** |
| 5. | Details that the delegation agreement provides for access by the Central Bank to data relating to delegated functions  |  |
| 6. | Details that the delegation agreement provides for co-operation by the Non-Irish administrator regarding the delegated functions  |  |
| 7. | The programme of operations sets out additional record keeping procedures in relation to the administration function |  |
| 8. | Details are included that confirm that staff or Designated Persons with knowledge of the EU AIF(s) will be available to the Central Bank |  |
| 9. | Details are included that the AIFM will undertake annual due diligence assessments of non-Irish administrators |  |
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| **Depositary – ability of the Central Bank to supervise activity** |  |
| 10. | Details are set out on how the AIFM will ensure that the Depositary will not impair the ability of the Central Bank to gain access to data of the AIFM |  |
| 11. | Details are set out confirming that in the event that a contractual agreement between the AIFM and a service provider being terminated, the Central Bank will continue to have access to the service provider and all relevant information |  |

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