Banc Ceannais na hÉireann
Central Bank of Ireland
Eurosystem

Beneficial Ownership Register
Legitimate Interest Certification Application

Version 1.0

IMPORTANT INFORMATION – PLEASE READ BEFORE COMPLETING THIS FORM

This form is provided in accordance with S.I. No. 110/2019 - European Union (Anti-Money Laundering: Beneficial Ownership Of Corporate Entities) Regulations 2019.

Applications for Legitimate Interest Certification is restricted to those requiring information related to the following certain financial vehicles only; Unit Trusts, Credit Unions, and Irish Collective Asset-Management Vehicles (ICAVs).

On completion of this Legitimate Interest Certification Application, it must be returned to BOR@centralbank.ie.

Who is this form for: First time applicants for certification of legitimate interest.

If you have already received certification from another Irish Registrar or a Registrar in another Member State, visit our website here to find out what steps you should take next.

What you should expect from us: We will respond to your application within 12 working days of receiving a completed submission. An application is considered complete when you have answered all relevant questions and provided all supporting documentation in the requested format.

In Ireland, you may apply for a Legitimate Interest Certificate from;

- The Central Bank of Ireland; or
- the Register of Beneficial Ownership (RBO); or
- the Central Register of Beneficial Ownership of Trusts (CRBOT)

For further information regarding Legitimate Interest Certification by RBO, please consult the RBO website, and by CRBOT, please consult the Revenue Commissioners website.

Please submit only one application for certification at a time. Submitting multiple or parallel applications may delay processing.

SECTION 1: DETERMINE YOUR APPLICATION CATEGORY

Please review below Application Categories in line with Regulation 25 (3A) of S.I. 110 of 2019. Select the ONE category that best describes your position. Please seek your own independent legal advice if you are unsure as to which category applies to you.

CATEGORY 1

Requesting Access Based on the applicant's function/occupation only

Applicable to:

- Journalists, reporters or other forms of expression in the media, connected with the prevention or combating of money laundering, its predicate offences or terrorist financing; ☐
- civil society organisations, including non-governmental organisations and academia, connected with the prevention or combating of money laundering, its predicate offences or terrorist financing; ☐

CATEGORY 2

Requesting Access Based on the applicant's function/occupation AND link to specific entity

Applicable to:

- Entities subject to AML/CFT requirements in third countries, provided they can demonstrate the need to access the information referred to in paragraph 1 in relation to a legal entity or legal arrangement to perform customer due diligence in respect of a customer or prospective customer pursuant to AML/CFT requirements in those third countries; ☐
- Third-country counterparts of Union AML/CFT competent authorities provided they can demonstrate the need to access the information referred to in paragraph 1 in relation to a legal entity or legal arrangement to perform their tasks under the AML/CFT frameworks of those third countries in the context of a specific case; ☐
- Member State authorities in charge of implementing Title I, Chapters II and III of Directive (EU) 2017/1132, in particular the authorities in charge of the registration of companies in the register referred to in Article 16 of that Directive, and Member State authorities responsible for scrutinising the legality of conversions, mergers and divisions of limited liability companies pursuant to Title II of that Directive; ☐
- Programme authorities identified by Member States pursuant to Article 71 of Regulation (EU) 2021/1060, in respect of beneficiaries of Union funds; ☐
- Public authorities implementing the Recovery and Resilience Facility under Regulation (EU) 2021/241, in respect of beneficiaries under the Facility; ☐

- Member States' public authorities in the context of public procurement procedures, in respect of the tenderers and operators being awarded the contract under the public procurement procedure; ☐
- Providers of AML/CFT products, to the strict extent that products developed on the basis of the information referred to in paragraph 1 or containing that information are provided only to customers that are obliged entities or competent authorities provided that those providers can demonstrate the need to access the information referred to in paragraph 1 in the context of a contract with an obliged entity or a competent authority ☐

CATEGORY 3

Requesting Access Based on link to specific entity

Applicable to:

- Natural or legal persons likely to enter into a transaction with a legal entity or legal arrangement and who wish to prevent any link between such a transaction and money laundering, its predicate offences or terrorist financing. ☐

Nature of Application Type

Please indicate which of the below scenarios best capture the nature of your application:

☐ **Natural person** – I am applying in my individual capacity.

☐ **Legal entity** – I am applying on behalf of an organisation.

SECTION 2: APPLICANT DETAILS

*This section must be completed for **all** Category Types.*

2.1 Applicant Details

First name(s)

Surname(s)

Date of Birth DD MM YYYY

Place of Birth

Town /City

Country

Email address

*Documentary evidence for proof of identity is **required**;*
This must include a clear, legible copy of ONE of the following options. Document cannot be accepted as valid where it has expired more than six months ago.

***Please indicate which document has been submitted with this application*:**

- ☐ **Passport** – the identification page of your passport or identification side of your passport card including your name, date of birth, photograph and signature.
- ☐ **EU driving license card/International driving license** – the identification side including your name, date of birth, photograph, and signature.

2.2 Legal Entity Applicant Details

*This section must be completed **only** if you have indicated the nature of application type is a **Legal Entity**.*

Legal Entity Details

Full Legal Entity Name (as registered)

Registered Business Address:

Address Line 1

Address Line 2

Town/City

County

Post Code /Eircode

Country

Business Email Address

Legal Entity Identification:

Please select one of the following and provide details.

☐ National Registration Number[1]:

☐ EUID (European Unique Identifier)[2]:

☐ Other Proof of Existence (if no registration number or EUID)

*If '**Other Proof of existence**' has been selected above, please provide details:*

[1] National Registration Number means the individual identity number attributed under national law to a company or other legal entity.
[2] EUID format consists of a combination of the country code, the identifier of the trade register, and the company's specific national registration number.

Please submit documentation supporting proof of the existence of the legal entity as described above.

For legal entity applicants: *Please confirm the role/title of the natural person submitting this application within your organisation:*

Proof of Authorisation to Act on Behalf of Legal Entity

Please submit documentation supporting proof of authorisation to submit this application on behalf of the legal entity.

SECTION 3: PROFESSIONAL FUNCTION/OCCUPATION

*This section must be completed for **all** Category Types.*

3.1 Professional Function or Occupation

Description of Your Role and AML/CFT Involvement

Please describe your professional function and how it relates to anti-money laundering (AML), countering the financing of terrorism (CFT), or investigation of associated predicate offences:

Please submit documentation to support your active status in the function or occupation described above. Where possible, provide documentation from an independent source that is verifiable by the Central Bank of Ireland.

SECTION 4: Link to Legal Entity / Arrangement whose information is being sought

*This section must be completed for **Category 2** and **Category 3 applicants only**.*
Category 1 applicants proceed to Section 5.

4.1 Entity Details

The entity must be an ICAV, Credit Union or Unit Trust.

Entity Legal Name

Institution Code of Entity
Institution Codes can be found here

4.2 Description of Link to Entity whose information is being sought

*Please provide details on the **link** between the applicant and the legal entity whose information is being sought.*

*For **Category 3** applicants, if your link is based on a proposed or future transaction, please describe the circumstances indicating the likelihood of that transaction.*

Please indicate below (in no more than 100 words)

4.3 Supporting Evidence of Link to Entity whose information is being sought

Please attach supporting evidence on the link between the applicant and the legal entity whose information is being sought with this supplemental information form.

Please detail the attachment(s) being provided to support this link.

Please indicate below (in no more than 100 words)

SECTION 5: DECLARATION AND CONSENT

Complete for all applicants.

5.1 Applicant Declaration

I hereby declare on my own behalf, and, if applicable, on behalf of the legal entity named in Section 2.2, that:

- ☐ The information provided in this form is accurate and complete to the best of my knowledge.
- ☐ I have read the Data Protection Statement at *https://www.centralbank.ie/fns/privacy-statement* and understand how the Central Bank of Ireland processes my personal information in relation to this application.
- ☐ I understand that it can take up to 12 working days to receive a response to this application provided it is complete.
- ☐ I understand that this application applies to Legitimate Interest Certification **only for Unit Trust, Credit Union and ICAVs**.
- ☐ If approved, I understand that I will receive a certificate valid for 3 years.
- ☐ I understand that I need to make an application to retrieve the information from the BORCFV **separately** during the period of being certified.
- ☐ I understand that my application may be refused and if it is refused the grounds for refusal will be provided. An appeal may be lodged to any such decision.

Below declaration is applicable to Category 2 and Category 3 applicants only.

- ☐ If approved, I acknowledge that certification granted under this application is restricted to the specific entity/arrangement identified above.

Below declaration is applicable to Category 3 applicant only.

- ☐ If approved, I understand that this certificate is valid in relation to the BORCFV, as maintained by the Central Bank of Ireland, and cannot be used in other EU Member States.

5.2 Applicant Signature and Date

Signature:

Date:

Print Name:

5.3 For Legal Entity Applicants – Authorised Signatory

*This section must be completed **only** if you have indicated the nature of application type is a **Legal Entity**.*

I confirm that I am authorised to sign this application on behalf of the legal entity named above.

Signature:

Date:

Print Name:

Title/Position:



E: BOR@centralbank.ie
www.centralbank.ie

V1.0



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