Guide to addressing DORA register EBA feedback file errors

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1 Overview

This document identifies common errors noted within the feedback files received from the EBA following the technical and data quality validations they are performing. The errors in these feedback files are to be remediated by Financial Entities (FEs), before re-submitting to the Central Bank of Ireland and this guide should assist FEs in doing so.

This guide contained the most common errors the CBI have noted and thus is not an exhaustive. It should be read in conjunction with the following EBA guidance, all available on their Register preparation site:

- EBA sample files;
- The Implementing Technical Standards (ITS);
- EBA FAQ;
- EBA data model;
- Annotated templates; and
- Drop down fields.

2 Errors

Rule Code 103

Rule description:
Invalid ZIP file.

Displayed Error:
- Failed reception check 103: Invalid ZIP file, instance filename [filename] not matching zip filename [name of zip file]; or   - Failed reception check 103: Invalid ZIP file root folder [name of root folder] is not found in ZIP file [name of zip file]; or   - Failed reception check 103: Invalid ZIP file, instance file extension is missing in zip file [name of zip file]

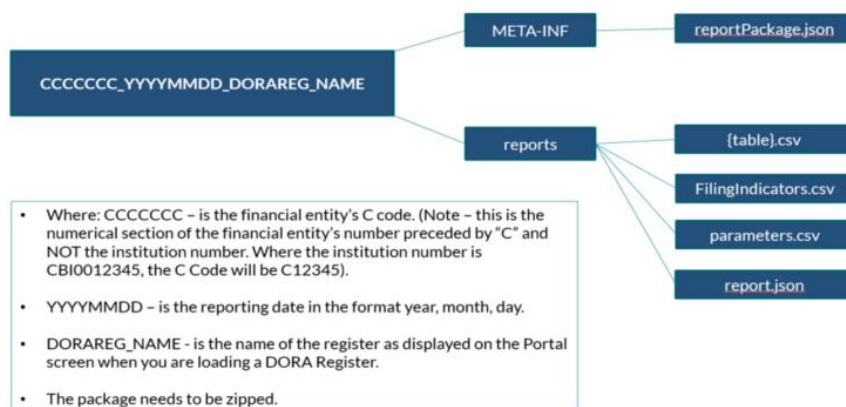| Error Description: |
| --- |
| Various problems can cause different error messages, such as: The root folder name differs from the zip file name; The root folder or some subfolders are missing; The zip file lacks the correct file extension; or The zip file does not follow the required structure. |

Instructions
Financial entities should ensure that the files are in line with the EBA site in the section on "Preparations for Official Reporting of Registers" which includes slides on how the file should look; along with section 3.3 of the CBI external guidance. Common issues are as follows: Financial entities must ensure that: - The root folder is present inside the zip file   - The root folder's name matches the zip file name, excluding the .zip extension   - The main folder contains exactly two subfolders: 'reports' and 'META-INF'

■ This is what the compiled file should look like (example with a test firm C#):

C999001_20250331_DORAREG_MiFID › C999001_20250331_DORAREG_MiFID

Name	Type
META-INF	File folder
reports	File folder

■ The META-INF folder should then contain:

META-INF

Name
reportPackage

■ And the report folder would have the following:

Name	Type
b_01.01	Microsoft Excel Comma S...
b_01.02	Microsoft Excel Comma S...
b_01.03	Microsoft Excel Comma S...
b_02.01	Microsoft Excel Comma S...
b_02.02	Microsoft Excel Comma S...
b_02.03	Microsoft Excel Comma S...
b_03.01	Microsoft Excel Comma S...
b_03.02	Microsoft Excel Comma S...
b_03.03	Microsoft Excel Comma S...
b_04.01	Microsoft Excel Comma S...
b_05.01	Microsoft Excel Comma S...
b_05.02	Microsoft Excel Comma S...
b_06.01	Microsoft Excel Comma S...
b_07.01	Microsoft Excel Comma S...
b_99.01	Microsoft Excel Comma S...
FilingIndicators	Microsoft Excel Comma S...
parameters	Microsoft Excel Comma S...
report	JSON File

Rule Code 305

Rule description:
Numeric fact must not be reported with a string value.

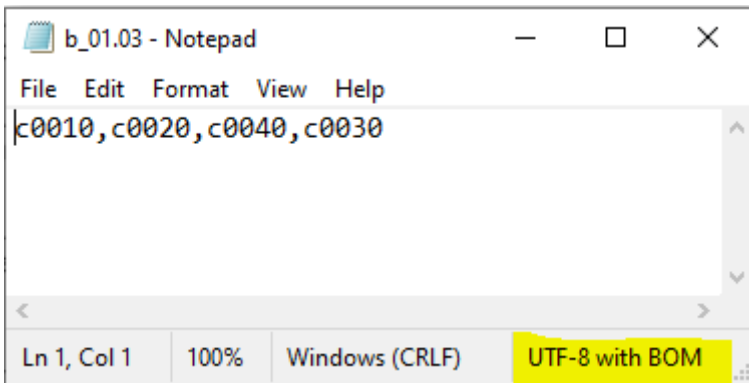| Displayed Error: |
|---|
| • [table],[row],[column],305, Numeric fact must not be reported with a string value. |

Error Description:
A numeric value shall be provided in data field [table],[row],[column]. The financial entity has either provided a non-numeric value or has left the data field empty.

Instructions
Financial entities shall provide the mandatory value in data field [table],[row],[column].

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Rule Code 306

Rule description:
XBRL instance documents must use UTF-8 encoding.

Displayed Error:
[table filename]…306, XBRL instance documents must use UTF-8 encoding.

Error Description:
• File [table file name] has not been encoded in the requested 'UTF-8' format.

Instructions
Financial entities shall ensure that all files contained in the .zip file are encoded in 'UTF-8' format. **Example**   The table file b_01.03.csv has been encoded in UTF-8-BOM format and this will cause errors in the validation of the file.

Rule Code 330

Rule description:
Date type metric must be reported with format 'yyyy-mm-dd'.

Displayed Error:
[[table],[row],[column],330, Date type metric must be reported with format 'yyyy-mm-dd'.

Error Description:
The data field [table],[row],[column] shall contain a date and the value shall respect the format 'yyyy-mm-dd'.

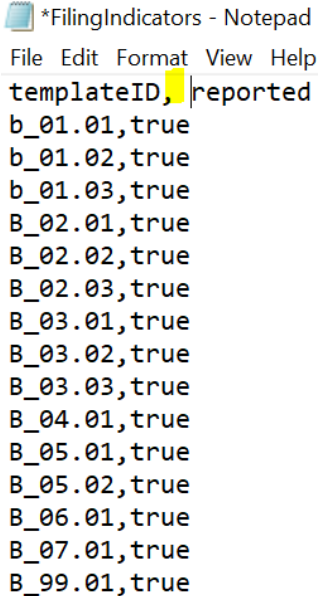| Instructions |
|---|
| Financial entities shall ensure that dates are encoded in the requested format. |

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Rule Code 331

Rule description:
Integer type metric must be reported with an integer.
Displayed Error:
[table],[row],[column],331, Integer type metric must be reported with an integer.
Error Description:
An integer value shall be provided in data field [table],[row],[column]. The financial entity has either not provided such an integer value or has left the data field empty. To note that for example the value '200.5' is in floating point format and not an integer value as an integer shall not contain a decimal place.
Instructions
Financial entities shall ensure that an integer value is provided for data field [table],[row],[column].

Rule Code 503

Rule description:
Extensible main property and explicit key dimension must only be reported with one of the restricted value of a hierarchy.
Displayed Error:
[table],[row],[column],503, Extensible main property and explicit key dimension must only be reported with one of the restricted value of a hierarchy.
Error Description:
The value contained in data field [table],[row],[column] shall be a value from the EBA permitted values for drop down fields.
Instructions
Please refer to the EBA permitted values for drop down fields. Common example – B_02.02 - The data fields b_02.02.0130, b_02.02.0150 and b_02.02.0160 are key fields and thus cannot be left empty. The ESAs have defined for those 3 data fields a drop-down list from which financial entities shall choose a value. **Example** In case the mandatory key value is not known by the financial entity for one of those three above mentioned data fields, it shall specify the code 'eba_GA:qx2007' representing the value 'Not applicable'.

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Rule Code 702

Rule description:
Invalid declarations in FilingIndicators.csv - wrong number of columns reported.
Displayed Error:
[filename]…702,Column names do not match the expected format.
Error Description:
The column names of file [filename] do not respect the requirements of the Data Point Model ("DPM").
Instructions
The header of the file FilingIndicators.csv shall be : templateID,reported

Example

The filingindicators.csv file below contains spaces between the header names, leading to the rejection of the submitted register.

```
*FilingIndicators - Notepad
File  Edit  Format  View  Help
templateID, reported
b_01.01,true
b_01.02,true
b_01.03,true
B_02.01,true
B_02.02,true
B_02.03,true
B_03.01,true
B_03.02,true
B_03.03,true
B_04.01,true
B_05.01,true
B_05.02,true
B_06.01,true
B_07.01,true
B_99.01,true
```

Rule Code 703

Rule description:
Each template can be declared only once in FilingIndicators.csv.
Displayed Error:
FilingIndicators.csv,,,703, Each templateID must be unique.
Error Description:
The different names of the table files in the FilingIndicators.csv must be unique.
Instructions

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Financial entities shall ensure that filenames are not duplicated within the csv file.

Example

The filingindicators.csv file below contains duplicate file names, leading to the rejection of the submitted register.

```
*FilingIndicators - Notepad
File  Edit  Format  View  Help
templateID, reported
b_01.01,true
b_01.02,true
b_01.03,true
B_02.01,true
B_02.02,true
B_02.03,true
B_02.03,true
B_03.01,true
B_03.02,true
B_03.03,true
B_04.01,true
B_05.01,true
B_05.02,true
B_06.01,true
B_07.01,true
B_99.01,true
```

Rule Code 704

Rule description:
Only boolean values are allowed for any template code in FilingIndicators.csv.

Displayed Error:
FilingIndicators.csv,,,704,"Each reported value must be 'true'

Error Description:
The FilingIndicators.csv file does not match the requirements defined in the DPM

Instructions
Content of a correct 'FilingIndicators.csv' file is shown below.

- The name of the .csv file is case sensitive. The value "true" must be in **lowercase**.
- All lines except the header contain the Boolean value 'true' even if the financial entity will not report a given template (for instance in case the financial entity has no branches, file b_01.03.csv is not required).
- Please also refer to the EBA slides on common errors

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```
*FilingIndicators - Notepad
File  Edit  Format  View  Help
templateID, reported
b_01.01,true
b_01.02,true
b_01.03,true
B_02.01,true
B_02.02,true
B_02.03,true
B_03.01,true
B_03.02,true
B_03.03,true
B_04.01,true
B_05.01,true
B_05.02,true
B_06.01,true
B_07.01,true
B_99.01,true
```

Rule Code 714

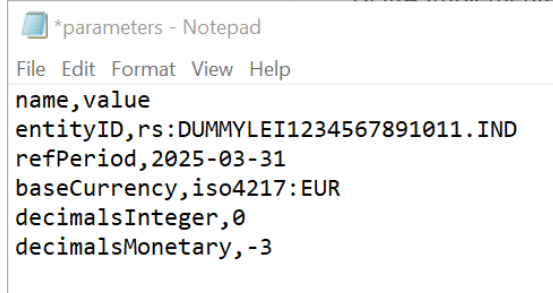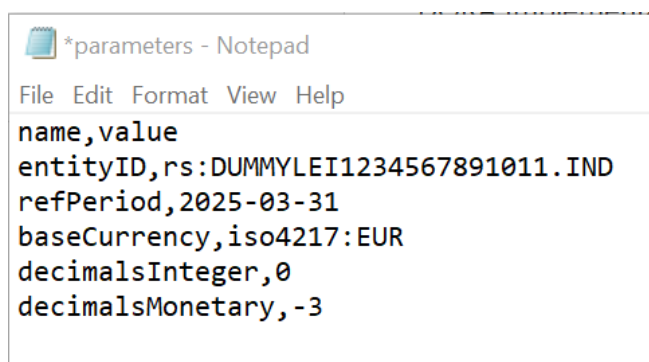| Rule description: |
| --- |
| The 'entityID' parameter must exist and its value must match filename. |
| **Displayed Error:** |
| parameters.csv,,,714, The 'entityID' parameter must exist and its value must match filename. |
| **Error Description:** |
| The parameters.csv file does not respect the requirements defined by the ESAs.

• Content of the 'parameters.csv' file:

```\n*parameters - Notepad\n\nFile Edit Format View Help\nname,value\nentityID,rs:DUMMYLEI1234567891011.IND\nrefPeriod,2025-03-31\nbaseCurrency,iso4217:EUR\ndecimalsInteger,0\ndecimalsMonetary,-3\n```

• The order of the header in the parameters.csv file is crucial — it must start with "name" followed by "value."

• The second row should begin with 'entityID,rs:' followed by the LEI of the submitting entity and either '.CON' or '.IND'.

• The reference period indicated in line 3 must match the one specified in the zip file's name. For the 2025 submission, the reference date is set as '2025-03-31.'

• The header line must not end with a comma (',').|
| **Instructions** |
| Financial entities shall review the content of the parameters.csv file. |

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Rule Code 720

Rule description:
Valid table names must be used as .csv filenames.
Displayed Error:
[LEI].[IND/CON],,,720, Extra files found in the submission: [filename].
Error Description:
Financial entity has added additional files in the sub-folder 'reports', or the name of a .csv table file has been misspelled.
Instructions
Financial entity shall ensure that only the requested files are included in the .zip file.

Rule Code 723

Rule description:
The header row in parameters.csv must consist only of the values name and value, in the first and second columns, respectively.
Displayed Error:
[parameters.csv,,,723, The header row in parameters.csv must consist only of the values name and value, in the first and second columns, respectively
Error Description:
The first line in the parameters.csv shall be 'name,value' .
Instructions
Financial entities must ensure that the first line of the file reads 'name,value.' They must not replace 'value' with the name of their financial entity. Sample accepted file (with a made-up LEI code):

```
*parameters - Notepad

File  Edit  Format  View  Help
name,value
entityID,rs:DUMMYLEI1234567891011.IND
refPeriod,2025-03-31
baseCurrency,iso4217:EUR
decimalsInteger,0
decimalsMonetary,-3
```

Rule Code 801

Rule description:
All header column codes must be defined in the taxonomy .json files (as described in the EBA data model.

Displayed Error:
[table filename],,,801, All header column codes must be defined in the schema

Error Description:
The financial entity has specified a header in table file [table filename] that is
not compliant with the DPM.

Instructions;
- The header of a .csv file lists various columns such as c0010, c0020, and so on.   - Each column in the header is separated by a comma (',') when opened in notepad/ notepad++.   - All column names are lowercase, starting with the letter "c" followed by four digits.   - No extra columns should be added.   - The header must not contain any empty values.   - Columns are numbered in increments of 10 (e.g., c0010, c0020, c0030, etc.) (except for B_03.03, which has columns c0010, c0020 and c0031). See the EBA annotated template as an illustration of the columns.   - The header line must not end with a comma (','), as this will be interpreted as an additional, undefined column.   - Please also refer to the EBA FAQ for unusual instances, for example the numbering error in the column codes in template B_06.01 in the ITS, whereby data field B_06.01.0050 is missing in the column codes, resulting in the data fields being assigned erroneous column codes. The ESAs further noted that for reporting purposes, the data points included in the reporting technical package v4.0 for the ITS on the Registers of information should be considered. The data point model contains consecutive numbers of the data fields. Following the renumbering of the columns, the header of the table file b_06.01.csv shall be: c0010,c0020,c0030,c0040,c0050,c0060,c0070,c0080,c0090,c0100   **Example:** Template B.01.01 is composed of 6 different data fields:

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Table	Column	Type	Length	PrimaryKey	ForeignKey	Nullable
B.01.01 — Financial entity maintaining the register of information	0010 - LEI of the entity maintaining the register of information	char	20	Yes	No	No
	0020 - Name of the entity	varchar	255	No	No	No
	0030 - Country of the entity	char	2	No	No	No
	0040 - Type of entity	varchar	255	No	No	No
	0050 - Competent Authority	varchar	255	No	No	No
	0060 - Date of the reporting	date	0	No	No	No

The header of the file b_01.01.csv must be:

c0010,c0020,c0030,c0040,c0050,c0060

Column Order:

Financial entities must ensure that the **number of columns** in the .csv header matches ESA's specifications.

However, the **order of the columns can vary** and appear in any sequence. In such cases, financial entities must ensure that the values correspond to the same order as the columns in the header.

Below are two examples of different b_01.01.csv files that will be accepted:

Example1 (accepted):

*b_01.01 - Notepad

File Edit Format View Help

```
c0010,c0020,c0030,c0040,c0050,c0060,
12345678,FE Name,eba_GA:AL,eba_CT:x12,STTTS,2021-06-29
```

Example2 (accepted):

*b_01.01 - Notepad

File Edit Format View Help

```
c0010,c0060,c0050,c0040,c0030,c0020
12345678,2021-06-29,vwzwmjjz,eba_CT:x12,eba_GA:AL,FE Name
```

In both examples column c0010, for instance, contains the LEI of the financial entity and column c0020 its name.

Example of a b.01.01.csv file that will be rejected:

*b_01.01 - Notepad

File Edit Format View Help

```
c0010,c0020,c0030,c0040,c0050,c0060,
2021-06-29,12345678,FE Name,eba_CT:x12,eba_GA:AL,STTTS,
```

In this example column c0010 incorrectly contains the reporting date and there is an extra ',' after column c0060, which is interpreted as an extra column.

Rule Code 805

Rule description:
For open tables, the key columns values cannot be empty.

Displayed Error:
[table],[row],[column],805, For open tables, the key columns values cannot be empty, None

Error Description:
A data field defined as a key field is empty i.e. contains no value.

Instructions;

Please populate the offending cell as per the ITS on the RoI, sample files, EBA Data Model, EBA FAQ, EBA annotated template and EBA permitted values for drop down fields.

Table	Column	Type	Length	PrimaryKey	ForeignKey	Nullable
B.01.01 — Financial entity maintaining the register of information	0010 - LEI of the entity maintaining the register of information	char	20	Yes	No	No
	0020 - Name of the entity	varchar	255	No	No	No
	0030 - Country of the entity	char	2	No	No	No
	0040 - Type of entity	varchar	255	No	No	No
	0050 - Competent Authority	varchar	255	No	No	No
	0060 - Date of the reporting	date	0	No	No	No
B.01.02 — List of financial entities within the scope of the register of information	0010 - LEI of the entity	char	20	Yes	No	No
	0020 - Name of the entity	varchar	255	No	No	No
	0030 - Country of the entity	char	2	No	No	No
	0040 - Type of entity	varchar	255	No	No	No
	0050 - Hierarchy of the entity within the group (where applicable)	varchar	255	No	No	No
	0060 - LEI of the direct parent undertaking of the financial entity	char	20	No	Yes	Yes
	0070 - Date of last update	date	0	No	No	No
	0080 - Date of integration in the Register of information	date	0	No	No	No
	0090 - Date of deletion in the Register of information	date	0	No	No	No
	0100 - Currency	char	3	No	No	Yes
	0110 - Value of total assets - of the financial entity	money	0	No	No	Yes

In the above example, data fields b.01.01.0010, b.01.02.0010 and b.01.02.0060 are defined as keys and cannot be empty.

Rule Code 806

Rule description:
For open tables, you cannot have multiple rows with identical key values.

Displayed Error:
[table],[line],[column],806, For open tables, you cannot have multiple rows with identical key values,[value] and

[table],[line],[column],806, For open tables, you cannot have multiple rows with identical key values,[value]

Error Description:

Each table in the DORA data model has a primary key composed of a single column or a combination of several columns. All columns of a table which are part of the table's key are represented in bold in the EBA data model;

Examples:

The primary key for table B.05.01 is a single column: c0010

B.05.01 — ICT third-party service provider	0010 - Identification code of the third-party service provider	varchar	255	Yes	No	No
	0020 - Type of code of the third-party service provider	varchar	255	No	No	No
	0030 - Additional identification code of the third-party service provider	int	0	No	No	Yes
	0040 - Type of additional identification code of the third-party service provider	varchar	255	No	No	Yes
	0050 - Legal name of the third-party service provider	varchar	255	No	No	No
	0060 - Name of the ICT third-party service provider in Latin alphabet	varchar	255	No	No	Yes
	0070 - Type of person of the third-party service provider	varchar	255	No	No	No
	0080 - Country of the third-party service provider's headquarters	char	2	No	No	No
	0090 - Currency of the amount reported	char	3	No	No	Yes
	0100 - Total annual expense or estimated cost of the third-party service provider	money	0	No	No	Yes
	0110 - Identification code of the third-party service provider's ultimate parent undertaking	varchar	255	No	Yes	No
	0120 - Type of code of the third-party service provider's ultimate parent undertaking	varchar	255	No	No	Yes

The primary key for table B.01.03 is a combination of the two columns: [c0010, c0020]

B.01.03 — List of branches	0010 - Identication code of the branch	varchar	255	Yes	No	No
	0020 - LEI of the financial entity head office of the branch	char	20	Yes	Yes	No
	0030 - Name of the branch	varchar	255	No	No	No
	0040 - Country of the branch	char	2	No	No	No

For a given table, the value of a key cannot be duplicated.

Instructions;

Financial entities shall ensure that a given .csv file has no duplicated keys.

Rule Code 807 in b_05.01

Rule description:

The reported data must follow the key-foreign key constraints defined in the DPM.

Displayed Error:

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[table],[row],[column],807,The reported data must follow the key-foreign key constraints defined in the DPM,[value]
Error Description:
In B_05.01, this error occurs because the Implementing Regulation (EU) 2024/2956 (the ITS)) requires such ultimate parent undertakings reported in c0110 to be reported in B_05.01 as separate rows, identified in c0010.
Instructions;
The ultimate parent undertakings reported in B_05.01.0110 are to be reported in B_05.01 as separate rows, identified by c0010. If the value is present for the ultimate parent in B_05.01.0110, it is checked against B_05.01.0010 for the parent, which should be reported as a separate entry. If there are no parents, or for ultimate parent entries, they should repeat the same ID as in B_05.01.0010.

Rule Code 807 General

Rule description:
The reported data must follow the key-foreign key constraints defined in the DPM.
Displayed Error:
[table],[row],[column],807,The reported data must follow the key-foreign key constraints defined in the DPM,[value]
Error Description:
The EBA data model specifies in column 'ForeignKey' whether a given data field is a foreign key field or not. Example:

Table	Column	Type	Length	PrimaryKey	ForeignKey	Nullable
	0010 - Contractual arrangement reference number	varchar	255	Yes	Yes	No
	0020 - LEI of the financial entity making use of the ICT service	char	20	Yes	Yes	No
	0030 - Identification code of the third-party service provider	varchar	255	Yes	Yes	No
	0040 - Type of code to identify the third-party service provider	varchar	255	No	No	Yes
	0050 - Function identifier	varchar	255	Yes	Yes	No
	0060 - Type of ICT services	varchar	255	Yes	No	No
	0070 - Start date of the contractual arrangement	date	0	No	No	No
	0080 - End date of the contractual arrangement	date	0	No	No	No
	0090 - Reason of the termination or ending of the contractual arrangement	varchar	255	No	No	Yes
B.02.02 — Contractual Arrangements – Specific Information	0100 - Notice period for the financial entity	int	0	No	No	Yes
	0110 - Notice period for the ICT third-party service provider	int	0	No	No	Yes
	0120 - Country of the governing law of the contractual arrangement	char	2	No	No	Yes
	0130 - Country of provision of the ICT services	char	2	Yes	No	No
	0140 - Storage of data	bit	0	No	No	No
	0150 - Location of the data at rest (storage)	char	2	Yes	No	No

In the example above, the data fields B_02.02.0010, B_02.02.0020, B_02.02.0030, and B_02.02.0050 are Foreign Keys.

This means the values in these fields must be defined either in the same table or in another table. If not, an error message of type 807 will be triggered, causing the rejection of the submitted register.

The ESAs distinguish two types of Foreign Key violations:

1. Foreign Key Violation – across tables
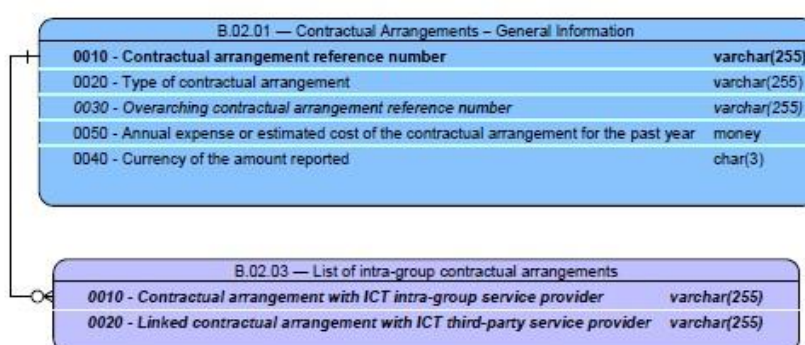This occurs when a data field contains a value that is not defined as a primary key in another table.

For example:

Table B_02.01 lists all contractual arrangements signed with Intra-Group entities or external TPPs, with each contract identified by a unique Contractual Arrangement Reference Number as the primary key.

Table B_02.03 includes all Intra-Group contractual arrangements.
Each contract in Table B_02.03 must also be defined in Table B_02.01. If this is not the case, an error message of type 'Foreign Key Violation – across tables' will be displayed.

The relationship between tables B_02.01 and B_02.03—specifically between data fields B_02.01.0010 and B_02.02.0010—is illustrated in the Data Model for the DORA RoI document as follows:

B.02.01 — Contractual Arrangements – General Information	
0010 - Contractual arrangement reference number	varchar(255)
0020 - Type of contractual arrangement	varchar(255)
0030 - Overarching contractual arrangement reference number	varchar(255)
0050 - Annual expense or estimated cost of the contractual arrangement for the past year	money
0040 - Currency of the amount reported	char(3)

B.02.03 — List of intra-group contractual arrangements	
0010 - Contractual arrangement with ICT intra-group service provider	varchar(255)
0020 - Linked contractual arrangement with ICT third-party service provider	varchar(255)

2. Foreign Key Violation - self reference

This type of violation occurs when a data field contains a value that is not defined within the same table Example:

Example:

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Table B_02.01 lists all contractual arrangements of the financial entity. If a reference in one of its fields points to a value not present within that same table, it results in a self-reference Foreign Key Violation.

B.02.01 — Contractual Arrangements – General Information	
0010 - Contractual arrangement reference number	varchar(255)
0020 - Type of contractual arrangement	varchar(255)
0030 - Overarching contractual arrangement reference number	varchar(255)
0050 - Annual expense or estimated cost of the contractual arrangement for the past year	money
0040 - Currency of the amount reported	char(3)

- Column c0020 in table B_02.01 indicates the type of contract: either a standalone arrangement, an overarching/master contractual arrangement, or a subsequent/associated arrangement.
- If column c0020 contains the value "subsequent or associated arrangement," then column c0030 (a foreign key) must include the contractual reference number (the primary key) of the related overarching/master contractual arrangement.

If column c0030 references a contractual reference number, the corresponding overarching/ master contract must be present in table B_02.01. If it is not, an error message of type 807 will be generated, and the submitted register will be rejected.

Instructions;

The error message will specify the source data field — including the table, row, column, and the value — where the foreign key violation occurred.

According to the DORA RoI Data Model, financial entities must identify the table and data field linked to the source field in order to ensure the referenced value exists.

The EBA slides on common errors also contain examples of this error.

Note:

A large number of 807 errors may occur if the .csv files are not properly encoded in UTF-8 format.

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Rule Code 808

Rule description:
All filing indicators have to be set as true - and the templates reported empty if no data is present.
Displayed Error:
FilingIndicators.csv,,,808, All filing indicators have to be sent as true.
Error Description:
The FilingIndicators.csv file does not match the requirements defined in the EBA data model.
Instructions;
All templates have to be reported as 'true' in FilingIndicators.csv per slide 16 in the EBA slides on common errors and as shown below, even if the financial entity is not reporting a given template (for instance in case the financial entity has no branches, file b_01.03.csv is not required);

```
templateID,reported
B_01.01,true
B_01.02,true
B_01.03,true
B_02.01,true
B_02.02,true
B_02.03,true
B_03.01,true
B_03.02,true
B_03.03,true
B_04.01,true
B_05.01,true
B_05.02,true
B_06.01,true
B_07.01,true
B_99.01,true
```

Rule Code 809

Rule description:
CSV formatting problem detected.
Displayed Error:
• [filename],,,809, CSV formatting problem detected.,'utf-8' codec can't decode byte [value] in position [value] invalid start byte; or
• Unexpected number of columns in row [value]
Error Description:
An issue has been detected inside a .csv table file:
• The file [filename] is not encoded in 'UTF-8'. To note that the error '306 XBRL instance documents must use UTF-8 encoding' may also be raised in this case.
The header does not match the columns in row [value].
Instructions;

Financial entities shall review the encoding of the file.

Rule Code v88xx_m / v8888x_m

Rule description:
Value should not be missing.
Displayed Error:
[v88xx_m [row] Value should not be missing [table] [column] None.
Error Description:
A given data field is empty but shall contain a value.
Instructions;
The ESAs have defined for all tables (except for B_02.03,B_02.03,B_03.02) Data Point Model (DPM) Business Validation Rules.

DPM Business Validation rules v88xx_m / v8888x_m check that a given data field is not empty under a predefined condition.

The structure of each of those validation rules is [in case a certain condition is met] then [a given data field cannot be empty e.g. must contain a value]. Please populate the offending templates as per the ITS on the RoI, sample files, EBA Data Model, EBA FAQ and drop down fields and refer to the EBA slides on common errors. |

Rule Code VR_2 / VR_12 / VR_23 / VR_71 / VR_77

Rule description:
LEI code needs to be a valid one according to the GLEIF database.
Displayed Error:
• [table],[row],[column],VR_2,LEI code needs to be a valid one according to the GLEIF database,[value] • [table],[row],[column],VR_12,LEI code needs to be a valid one according to the GLEIF database,[value] • [table],[row],[column],VR_23,LEI code needs to be a valid one according to the GLEIF database,[value] • [table],[row],[column],VR_71,LEI code needs to be a valid one according to the GLEIF database,[value] [table],[row],[column],VR_77,LEI code needs to be a valid one according to the GLEIF database,[value]
Error Description:

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The LEI does not appear to be a valid LEI code in the GLEIF. (Or, if the feedback file is from before 16 May, it may be an encoding issue and it should be checked that UTF-8 encoding was used and not UTF-8-BOM).

Instructions;

Financial entities shall provide a valid LEI code in data field [table],[row],[column].

According to EBA FAQ #40, if an ICT third-party provider (or its ultimate parent) in a third country does not have an LEI, the financial entity should populate the data field with any relevant value to avoid the file being rejected as failing the referential integrity check. In practice this could mean that the financial entity could use other identifiers available. The use of such identifiers will be highlighted as a data quality issue, but the files will not be rejected.

Rule Code VR_72 / VR_78

Rule description:

EUID code needs to be a valid one according to the BRIS.

Displayed Error:

EUID code needs to be a valid one according to the BRIS

Error Description:

Warning message, not leading to the rejection of the submitted register.

Financial entities are however requested to fix the raised issues and re-submit their register.

Instructions;

Financial entities shall check the composition of the EUID code and ensure that the EUID code is recorded in the BRIS database where possible

Code	Format	Component
CC	2 Uppercase alphabetic characters	Country Code
$RR...R_{15}$	2 to 15 non-whitespace characters	Business Register Identifier
"."	Single dot character delimiter	
$NNN...N_{35}$	3 to 35 non-whitespace characters	Company Registration Number

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