

How to: Complete Section 6 of the Individual Questionnaire:

This step-by-step guidance provides information on the process for completing Section 6 of the Individual Questionnaire (IQ), which must be completed to comply with the Central Bank’s fitness and probity regime, via the Online Reporting System (ONR).

To complete Section 6, please follow these steps:

- i. Please see **How-to: Access the Individual Questionnaire via Online Reporting** for information on how to access Section 6.
- i. Section 6 concerns the applicant’s Current and Previous Financial Services Regulatory Approvals and is mandatory.
- ii. The applicant must take special care to ensure that the answer provided in relation to the number of working days dedicated to each role is as accurate as possible.
- iii. If the applicant has previous refusals outlined in Question 6.6, they must enter a reason for these. Similarly, a reason must be provided for each previous withdrawal mentioned in Question 6.7 (figure 1.1).

6.6 Financial Services Regulator Refusals

Have you ever been refused approval by any Financial Services Regulator? Yes No*

Position refused	Name of Proposing Entity	Sector	Name of Regulator (Full name)	Country	Date of refusal (mm/yyyy)
Add a refusal					

6.7 Financial Services Regulator Withdrawal of Application

Have you ever sought approval by any Financial Services Regulator and subsequently withdrew your application or failed to respond to correspondence prior to a final decision being reached by any Financial Services Regulator ? Yes No*

Position applied for	Name of Proposing Entity	Sector	Name of Regulator (Full name)	Country	Date of withdrawal of application (mm/yyyy)
Add a withdrawal					

Figure 1.1

- iv. Once you have completed Section 6, select the ‘**Save Form**’ button. If you have left any mandatory sections blank, you will receive an error message highlighting the section that is blank in red, and you will be unable to save it until this section has been completed. When it has been saved properly, its Status will have updated from ‘Blank’ to ‘Valid’.