

<b>Pre-Approval Controlled functions (PCFs)</b>	
<b>General</b>	
PCF-1	Executive director
PCF-2	Non-executive director
PCF-3	Chairman of the board
PCF-4	Chairman of the audit committee
PCF-5	Chairman of the risk committee
PCF-6	Chairman of the remuneration committee
PCF-7	Chairman of the nomination committee
PCF-8	Chief executive
PCF-9	Member of partnership
PCF-10	Sole Trader
PCF-11	Head of Finance
PCF-12	Head of Compliance
PCF-13	Head of Internal Audit
PCF-14	Chief Risk Officer
PCF-15	Head of Compliance with responsibility for Anti-Money Laundering and Counter Terrorist Financing Legislation
PCF-16	Branch Manager of branches in other EEA countries
PCF-17	Head of Retail Sales
PCF-42	Chief Operating Officer
PCF-49	Chief Information Officer
<b>Insurance</b>	
PCF-18	Head of Underwriting
PCF-19	Head of Investment
PCF-43	Head of Claims
PCF-48	Head of Actuarial Function
<b>Banking</b>	
PCF-21	Head of Treasury
PCF-22	Head of Credit
PCF-23	Head of Asset and Liability Management
PCF-50	Head of Material Business Line
PCF-51	Head of Market Risk
<b>Stock Exchange</b>	
PCF-24	Head of Traded Markets
PCF-25	Head of International Primary Markets
PCF-26	Head of Regulation
PCF-27	Head of Operations
<b>Investment firms</b>	
PCF-28	Branch Managers in Ireland
PCF-29	Head of Trading
PCF-30	Chief Investment Officer
PCF-31	Head of Investment

PCF-45	Head of Client Asset Oversight
<b>Investment Intermediaries / Collective Investment Schemes</b>	
PCF-32	Branch Managers in Ireland
PCF-33	Head of Transfer Agency
PCF-34	Head of Accounting (Valuations)
PCF-35	Head of Trustee Services
PCF-36	Head of Custody Services
<b>UCITS Self-Managed Investment Company / Management Company</b>	
PCF-37	Head of Transfer Agency
PCF-38	Head of Accounting Valuations
PCF-39A	Designated Person with responsibility for Capital and Financial Management
PCF-39B	Designated Person with responsibility for Operational Risk Management
PCF-39C	Designated Person with responsibility for Fund Risk Management
PCF-39D	Designated Person with responsibility for Investment Management
PCF-39E	Designated Person with responsibility for Distribution
PCF-39F	Designated Person with responsibility for Regulatory Compliance
PCF-46	Head of Investor Money Oversight
<b>Payment Institutions / Electronic Money Institutions Account Information Service Providers / Small Electronic Money Institutions</b>	
PCF-40	Branch Managers within the State
<b>Retail Credit Firms</b>	
PCF-47	Head of Credit
<b>Financial Service Providers established outside Ireland</b>	
PCF41	Manager of a branch in Ireland of a regulated financial service provider established in a country that is not an EEA country