

Ex-ante contributions to the Single Resolution Fund - reporting form for the 2023 contribution period

1. General information

A. Identification of the institution

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|------------------------------------|-------|
| 1A1 | Name of the institution | Text (255) | |
| 1A2 | Address of the institution | Text (150) | |
| 1A3 | Postal code of the institution | Text (15) | |
| 1A4 | City of the institution | Text (50) | |
| 1A5 | Country of registration of the institution | Text (2) | |
| 1A6 | RIAD code of the institution (for credit institutions only) or SRB identifier where a RIAD code is not available | Alphanumeric (30) | |
| 1A7 | LEI code of the institution | Alphanumeric (20) / Not applicable | |
| 1A8 | National identifier code of the institution | Text (30) | |

B. Contact person for this reporting form

| Field ID | Field | Format | Value |
|----------|-------------------------------------|------------|-------|
| 1B1 | First name of the contact person | Text (50) | |
| 1B2 | Family name of the contact person | Text (50) | |
| 1B3 | Email address of the contact person | Text (255) | |
| 1B4 | Alternative e-mail address | Text (255) | |
| 1B5 | Phone number | Number | |

C. Identification of possible specificities for the calculation of the individual annual contribution

| Field ID | Field | Format | Value |
|----------|---|---------------------------|-------|
| 1C1 | Is the institution a credit institution, as defined for this field? | Yes / No | |
| 1C2 | Is the institution a central body, as defined for this field? | Yes / No | |
| 1C3 | Is the institution member of an 'Institutional Protection Scheme' (IPS)? | Yes / No | |
| 1C4 | Has the competent authority granted the permission referred to in Article 113(7) of the CRR to the institution? (only to fill in if the value to the field above is 'Yes'. Otherwise 'Not applicable') | Yes / No / Not applicable | |
| 1C5 | Is the institution a central counterparty (CCP), as defined for this field? | Yes / No | |
| 1C6 | Is the institution a central securities depository (CSD), as defined for this field? | Yes / No | |
| 1C7 | Is the institution an investment firm, as defined for this field? | Yes / No | |
| 1C8 | Is the institution an investment firm authorized to carry out only the limited services and activities listed in the guidance document for this field? | Yes / No | |
| 1C9 | Is the institution operating promotional loans, as defined for this field? | Yes / No | |
| 1C10 | Is the institution a mortgage credit institution financed by covered bonds, as defined for this field? | Yes / No | |

D. Newly supervised institutions and mergers

| Field ID | Field | Format | Value |
|----------|--|--|-------|
| 1D1 | Start date of supervision (only if it is in the course of the year prior to the contributions period) | YYYY-MM-DD / Blank (if not applicable) | |
| 1D2 | Has the institution merged with another institution after the reference date? | Yes / No | |

E. Reference date for the reporting form

| Field ID | Field | Format | Value |
|----------|---|------------|-------|
| 1E1 | Reference date for the present reporting form | YYYY-MM-DD | |

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2. Basic annual contribution

This tab consists of the following sections:

[A. Basic annual contribution before adjustment of liabilities arising from derivative contracts \(excluding credit derivatives\)](#)

[B. Simplified calculation methods](#)

[C. Adjustment of liabilities arising from derivative contracts \(excluding credit derivatives\)](#)

Section A. Basic annual contribution before adjustment of liabilities arising from derivative contracts (excluding credit derivatives)

Legal references for this section: Articles 3, 4, 16 and 17 of Delegated Regulation

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|-----------------------------|-------|
| 2A1 | Total liabilities, as defined for this field | Amount (15) | |
| 2A2 | Own funds, as defined for this field | Amount (15) | |
| 2A3 | Covered deposits, yearly average of the quarterly calculated amounts, as defined for this field | Amount (15) | |

Section B. Simplified calculation methods

Legal references for this section: Articles 3, 10 and 11 of Delegated Regulation

Considering that in most cases small institutions do not pose systemic risk and are less likely to be placed under resolution, which consequently decreases the likelihood that they benefit from the resolution financing arrangements, compared to large institutions, the annual contributions of small institutions should consist of a lump-sum based only on their basic annual contribution, proportioned to their size. However, the small institution does not qualify anymore for this simplified approach if it has a particularly high risk profile. In the latter case, the whole reporting form must be filled in by the small institution (tabs 1 to 4).

| Field ID | Field | Format | Value |
|----------|---|---------------------------|-------|
| 2B1 | Has your institution been invited by the SRB to complete the full Reporting Form with a view to performing an assessment pursuant to Article 10(8) of the Delegated Regulation? | Yes / No | |
| 2B2 | Does the institution qualify for the simplified lump-sum annual contribution for small institutions? | Yes / No | |
| 2B3 | Does the institution opt for the calculation of an alternative individual annual contribution amount and provide the necessary information? ('Not applicable' only applies if the value to the field 2B2 above is 'No') | Yes / No / Not applicable | |

If the value of '2B2' is 'Yes' then no more information is needed from the institution (the resolution authority after assessment of the risk profile could ask for additional information). However, if the institution selects 'Yes' in 2B3, it must fill in the rest of the tab 2 and the tab 3 (Deductions, when applicable).

Section C. Adjustment of liabilities arising from derivative contracts (excluding credit derivatives)

Legal references for this section: Articles 3 and 5 of Delegated Regulation

Accounting of derivatives is not harmonized in the Union with respect to individual accounts and therefore this could have an implication in the amount of liabilities to be considered for the calculation of the contributions of each institution. As a consequence, the liabilities arising from derivatives shall be 're-evaluated' by applying the Derivatives Adjustment Method. In this section, the on-balance sheet accounting value of liabilities arising from derivatives (included in the total liabilities reported in the section A) is carved out and replaced by a value calculated in accordance with the Derivatives Adjustment Method, to which a floor is applied.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 2C1 | Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with the Derivatives Adjustment Method (yearly average of the quarterly calculated amounts). | Amount (15) | |
| 2C2 | Accounting value of liabilities arising from all derivative contracts (excluding credit derivatives) booked on-balance sheet, when applicable | Amount (15) | |
| 2C3 | Accounting value of liabilities arising from all derivative contracts (excluding credit derivatives) held off-balance sheet, when applicable | Amount (15) | |
| 2C4 | Total accounting value of liabilities arising from all derivative contracts (excluding credit derivatives) | Amount | |
| 2C5 | Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with the Derivatives Adjustment Method after floor | Amount | |
| 2C6 | Total liabilities after adjustment of liabilities arising from all derivative contracts (excluding credit derivatives) | Amount | |

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3. Deductions

This tab allows to fill in qualifying items for deduction from the adjusted total liabilities (2C6 field) in accordance with the Article 5 of the Delegated Regulation.

Please remember that derivative adjustment in tab 2 (Section C) should be filled in to compute final deductible amounts.

Important message: the same transaction can only be deducted ONCE from the total adjusted liabilities even if it matches several of the categories below.

This tab consists of the following sections:

[A. Deductible amount of qualifying liabilities related to clearing activities](#)

[B. Deductible amount of qualifying liabilities related to the activities of a central securities depository \(CSD\)](#)

[C. Deductible amount of qualifying liabilities that arise by virtue of holding client assets or client money](#)

[D. Deductible amount of qualifying liabilities that arise from promotional loans](#)

[E. Deductible amount of assets and liabilities arising from qualifying Institutional Protection Scheme \(IPS\) liabilities](#)

[F. Deductible amount of assets and liabilities arising from qualifying intragroup liabilities](#)

[G. Simplified calculation methods](#)

Only applies to a central counterparty (CCP) - See 1C5 field

Only applies to a central securities depository (CSD) - See 1C6 field

Only applies to an investment firm - See 1C7 field

Only applies to an institution operating promotional loans - See 1C9 field

Only applies to an authorised IPS member - See 1C3 and 1C4 fields

Only applies to an entity that is part of a group

Only applies to some entities - See 1C8 and 1C10 fields

Section A. Deductible amount of qualifying liabilities related to clearing activities

Legal references for this section: Articles 3 and 5 of Delegated Regulation

This section only applies to a central counterparty (CCP) as defined in the field '1C5' in the tab '1. General information'.

Sub-section A.i) Adjustment of qualifying liabilities related to clearing activities arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 2C1 | Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with the Derivatives Adjustment Method (yearly average of the quarterly calculated amounts). | Amount | |
| 3A1 | Of which: qualifying liabilities arising from derivatives related to clearing activities (yearly average of the quarterly calculated amounts). | Amount (15) | |
| 3A2 | Of which: liabilities arising from derivatives not related to clearing activities (yearly average of the quarterly calculated amounts). | Amount | |
| 3A3 | Derivative floor factor | 0.0000 | |
| 3A4 | Adjusted value of qualifying liabilities related to clearing activities arising from derivatives | Amount | |

Sub-section A.ii) Total qualifying liabilities related to clearing activities held by the institution

The adjusted value of qualifying liabilities related to clearing activities arising from derivatives calculated above must be added to the accounting value of qualifying liabilities related to clearing activities not arising from derivatives.

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|-----------------------------|-------|
| 3A5 | Total accounting value of qualifying liabilities related to clearing activities | Amount (15) | |
| 3A6 | Of which: arising from derivatives | Amount (15) | |
| 3A7 | Of which: not arising from derivatives | Amount | |
| 3A8 | Total deductible amount of qualifying liabilities related to clearing activities | Amount | |

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3. Deductions

Section B. Deductible amount of qualifying liabilities related to the activities of a central securities depository (CSD)
 Legal references for this section: Articles 3 and 5 of Delegated Regulation

This section only applies to a Central securities depository (CSD) as defined in the field '1C6' in the tab '1. General information'.

Sub-section B.i) Adjustment of qualifying liabilities related to the activities of a central securities depository (CSD) arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 2C1 | Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with the Derivatives Adjustment Method (yearly average of the quarterly calculated amounts). | Amount | |
| 3B1 | Of which: qualifying liabilities arising from derivatives related to CSD activities (yearly average of the quarterly calculated amounts). | Amount (15) | |
| 3B2 | Of which: liabilities arising from derivatives not related to CSD activities (yearly average of the quarterly calculated amounts). | Amount | |
| 3B3 | Derivative floor factor | 0.0000 | |
| 3B4 | Adjusted value of qualifying liabilities related to CSD activities arising from derivatives | Amount | |

Sub-section B.ii) Total qualifying liabilities related to the activities of a CSD held by the institution

The adjusted value of qualifying liabilities related to the activities of a CSD arising from derivatives calculated above must be added to the accounting value of qualifying liabilities related to the activities of a CSD not arising from derivatives.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 3B5 | Total accounting value of qualifying liabilities related to CSD activities | Amount (15) | |
| 3B6 | Of which: arising from derivatives | Amount (15) | |
| 3B7 | Of which: not arising from derivatives | Amount | |
| 3B8 | Total deductible amount of qualifying liabilities related to CSD activities | Amount | |

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Section C. Deductible amount of qualifying liabilities that arise by virtue of holding client assets or client money
 Legal references for this section: Articles 3 and 5 of Delegated Regulation

This section only applies to investment firms as defined in the field '1C7' in the tab '1. General information'.

Sub-section C.i) Adjustment of qualifying liabilities that arise by virtue of holding client assets or client money arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 2C1 | Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with the Derivatives Adjustment Method (yearly average of the quarterly calculated amounts). | Amount | |
| 3C1 | Of which: qualifying liabilities arising from derivatives that arise by virtue of holding client assets or client money (yearly average of the quarterly calculated amounts). | Amount (15) | |
| 3C2 | Of which: liabilities arising from derivatives that do not arise by virtue of holding client assets or client money (yearly average of the quarterly calculated amounts). | Amount | |
| 3C3 | Derivative floor factor | 0.0000 | |
| 3C4 | Adjusted value of qualifying liabilities that arise by virtue of holding client assets or client money arising from derivatives | Amount | |

Sub-section C.ii) Total qualifying liabilities that arise by virtue of holding client assets or client money held by the institution

The adjusted value of qualifying liabilities that arise by virtue of holding client assets or client money arising from derivatives calculated above must be added to the accounting value of qualifying liabilities that arise by virtue of holding client assets or client money not arising from derivatives.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 3C5 | Total accounting value of qualifying liabilities that arise by virtue of holding client assets or client money | Amount (15) | |
| 3C6 | Of which: arising from derivatives | Amount (15) | |
| 3C7 | Of which: not arising from derivatives | Amount | |
| 3C8 | Total deductible amount of qualifying liabilities that arise by virtue of holding client assets or client money | Amount | |

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3. Deductions

Section D. Deductible amount of qualifying liabilities that arise from promotional loans

Legal references for this section: Articles 3 and 5 of Delegated Regulation

This section only applies to qualifying institutions operating promotional loans. See definitions in the field '1C9' in the tab '1. General information'.

Sub-section D.i) Adjustment of qualifying liabilities that arise from promotional loans arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 2C1 | Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with the Derivatives Adjustment Method (yearly average of the quarterly calculated amounts). | Amount | |
| 3D1 | Of which: qualifying liabilities arising from derivatives that arise from promotional loans (yearly average of the quarterly calculated amounts). | Amount (15) | |
| 3D2 | Of which: liabilities arising from derivatives that do not arise from promotional loans (yearly average of the quarterly calculated amounts). | Amount | |
| 3D3 | Derivative floor factor | 0.0000 | |
| 3D4 | Adjusted value of qualifying liabilities that arise from promotional loans arising from derivatives | Amount | |

Sub-section D.ii) Total qualifying liabilities that arise from promotional loans held by the institution

The adjusted value of qualifying liabilities that arise from promotional loans arising from derivatives calculated above must be added to the accounting amount of qualifying liabilities that arise from promotional loans not arising from derivatives.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 3D5 | Total accounting value of qualifying liabilities that arise from promotional loans | Amount (15) | |
| 3D6 | Of which: arising from derivatives | Amount (15) | |
| 3D7 | Of which: not arising from derivatives | Amount | |
| 3D8 | Total deductible amount of qualifying liabilities that arise from promotional loans | Amount | |

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3. Deductions

Section E. Deductible amount of assets and liabilities arising from qualifying Institutional Protection Scheme (IPS) liabilities

Legal references for this section: Articles 3 and 5 of Delegated Regulation

This section only applies to an institution which is member of an IPS as defined in the tab 1 fields '1C3' and '1C4'.

Sub-section E.i) Adjustment of qualifying IPS liabilities arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 2C1 | Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with the Derivatives Adjustment Method (yearly average of the quarterly calculated amounts). | Amount | |
| 3E1 | Of which: qualifying IPS liabilities arising from derivatives that arise from a qualifying IPS member (yearly average of the quarterly calculated amounts). | Amount (15) | |
| 3E2 | Of which: non qualifying IPS liabilities arising from derivatives (yearly average of the quarterly calculated amounts). | Amount | |
| 3E3 | Derivative floor factor | 0.0000 | |
| 3E4 | Adjusted value of qualifying IPS liabilities arising from derivatives that arise from a qualifying IPS member | Amount | |

Sub-section E.ii) Total qualifying IPS liabilities held by the institution

The adjusted value of qualifying IPS liabilities arising from derivatives calculated above must be added to the accounting value of qualifying IPS liabilities not arising from derivatives.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 3E5 | Total accounting value of qualifying IPS liabilities | Amount (15) | |
| 3E6 | Of which: arising from derivatives | Amount (15) | |
| 3E7 | Of which: not arising from derivatives | Amount | |
| 3E8 | Adjusted value of total qualifying IPS liabilities | Amount | |

Sub-section E.iii) Assets arising from qualifying IPS liabilities

Article 5(2) of the Delegated Regulation requires qualifying IPS liabilities to be evenly deducted on a transaction by transaction basis from the amount of total liabilities of each IPS member. Consequently, assets held by the institution that arise from qualifying IPS liabilities must be evenly deducted.

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|-----------------------------|-------|
| 3E9 | Total accounting value of qualifying IPS assets held by the qualifying IPS member | Amount (15) | |
| 3E10 | Adjusted value of total qualifying IPS assets (yearly average of the quarterly calculated amounts). | Amount (15) | |

Sub-section E.iv) Total deductible amount of assets and liabilities arising from qualifying IPS liabilities

Article 5(2) of the Delegated Regulation requires qualifying IPS liabilities to be evenly deducted on a transaction by transaction basis from the amount of total liabilities of each IPS member. Consequently, assets and liabilities held by the institution that arise from qualifying IPS liabilities must be evenly deducted.

| Field ID | Field | Format | Value |
|----------|---|--------|-------|
| 3E11 | Total deductible amount of assets and liabilities arising from qualifying IPS liabilities | Amount | |

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3. Deductions

Section F. Deductible amount of assets and liabilities arising from qualifying intragroup liabilities

Legal references for this section: Articles 3 and 5 of Delegated Regulation

Sub-section F.i) Adjustment of qualifying intragroup liabilities arising from derivatives held by the institution

Since derivatives in total liabilities are adjusted in the Section C of the tab 2, derivatives in items qualifying for deduction must be adjusted too.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 2C1 | Liabilities arising from all derivative contracts (excluding credit derivatives) valued in accordance with the Derivatives Adjustment Method (yearly average of the quarterly calculated amounts). | Amount (15) | |
| 3F1 | Of which: qualifying intragroup liabilities arising from derivatives (yearly average of the quarterly calculated amounts). | Amount (15) | |
| 3F2 | Of which: liabilities arising from derivatives that are not intragroup (yearly average of the quarterly calculated amounts). | Amount | |
| 3F3 | Derivative floor factor | 0.0000 | |
| 3F4 | Adjusted value of qualifying intragroup liabilities arising from derivatives | Amount | |

Sub-section F.ii) Total qualifying intragroup liabilities held by the institution

The adjusted value of qualifying intragroup liabilities arising from derivatives calculated above must be added to the accounting value of qualifying intragroup liabilities not arising from derivatives.

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|-----------------------------|-------|
| 3F5 | Total accounting value of qualifying intragroup liabilities | Amount (15) | |
| 3F6 | Of which: arising from derivatives | Amount (15) | |
| 3F7 | Of which: not arising from derivatives | Amount | |
| 3F8 | Adjusted value of total qualifying intragroup liabilities | Amount | |

Sub-section F.iii) Assets arising from qualifying intragroup liabilities

Article 5(2) of the Delegated Regulation requires qualifying intragroup liabilities to be evenly deducted on a transaction by transaction basis from the amount of total liabilities of each qualifying group counterpart. Consequently, assets held by the institution that arise from qualifying intragroup liabilities must be evenly deducted.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 3F9 | Total accounting value of qualifying intragroup assets held by the institution | Amount (15) | |
| 3F10 | Adjusted value of total qualifying intragroup assets (yearly average of the quarterly calculated amounts). | Amount (15) | |

Sub-section F.iv) Total deductible amount of assets and liabilities arising from qualifying intragroup liabilities

Article 5(2) of the Delegated Regulation requires qualifying intragroup liabilities to be evenly deducted on a transaction by transaction basis from the amount of total liabilities of each qualifying group counterpart. Consequently, assets and liabilities held by the institution that arise from qualifying intragroup liabilities must be evenly deducted.

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|-----------------------------|-------|
| 3F11 | Total deductible amount of assets and liabilities arising from qualifying intragroup liabilities | Amount | |

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3. Deductions

Section G. Simplified calculation methods

Legal references for this section: Articles 3, 10 and 11 of Delegated Regulation

Sub-section G.i) Simplified method for investment firms authorized to carry out only limited services and activities

Certain investment firms in scope which are authorized to carry out only limited services and activities are not subject or may be exempted from certain capital and liquidity requirements. Consequently, many of the risk adjustment metrics in the tab '4. Risk adjustment' would not apply to them. A specific simplified calculation method is therefore applied to these institutions.

| Field ID | Field | Format | Value |
|----------|--|----------|-------|
| IC8 | Is the institution an investment firm authorized to carry out only the limited services and activities listed in the guidance document for this field? | Yes / No | |

If the value to the field 'IC8' above is 'Yes' then no more information is needed from the institution. Otherwise, please move to the next field.

Sub-section G.ii) Simplified calculation method for qualifying mortgage credit institutions financed by covered bonds

Mortgage credit institutions financed by covered bonds as defined in Article 45(3) of the BRRD will not be recapitalized through the use of the resolution financing arrangements in accordance with Articles 44 and 101 of the BRRD. Consequently, in case their size does not allow them to qualify for the simplified lump-sum approach applied to small institutions (see section B.ii above), 50% will be applied on their basic annual contribution. However, if their risk profile is similar or above the one of an institution that has used the resolution financing arrangement for any of the purposes referred to in Article 101 of the BRRD, then the individual annual contribution will not be calculated by applying the simplified lump-sum approach nor the 50% on the basic annual contribution, and the whole reporting form must be filled in by the institution (tabs 1 to 4).

| Field ID | Field | Format | Value |
|----------|--|----------|-------|
| IC10 | Is the institution a mortgage credit institution financed by covered bonds, as defined for this field? | Yes / No | |

If the value to the field 'IC10' above is 'Yes' then no more information is needed from the institution (the resolution authority after assessment of the risk profile could ask for additional information). Otherwise, please move to the next tab.

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4. Risk adjustment

This tab collects the information in order to adjust the basic annual contribution (tab 2) in proportion to the risk profile of the institution.

Institutions should refer to the tab "Read Me" for instructions regarding waivers for the fields 4A1, 4A8, 4B1, 4B7, 4C1.

This tab consists of risk indicators grouped in the following risk pillars:

- [A. Risk exposure](#)
- [B. Stability and variety of sources of funding](#)
- [C. Importance of an institution to the stability of the financial system or economy](#)
- [D. Additional risk indicators to be determined by the resolution authority](#)

Section A. 'Risk exposure' pillar

Articles 6(2), 8 and Annex I Step 1 of Delegated Regulation

Risk indicator A.i) Own funds and eligible liabilities held by the institution in excess of Minimum Requirement for own funds and Eligible Liabilities (MREL)

This risk indicator is not yet applicable.

Risk indicator A.ii) Leverage ratio

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|---|-------|
| 4A1 | Has the competent authority granted a waiver from the application of the Leverage ratio risk indicator to the institution at individual level? | Yes / No | |
| 4A2 | Reporting level of the Leverage ratio risk indicator | Individual/ Sub-consolidated / Consolidated | |
| 4A3 | Name of the parent (only in case of waiver) | Text (255) | |
| 4A4 | LEI code of the parent (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4A6 | LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4A7 | Leverage ratio, at the reporting level selected in Field ID 4A2 | 0.0000 | |

Risk indicator A.iii) Common Equity Tier 1 Capital Ratio (CET1 ratio)

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|---|-------|
| 4A8 | Has the competent authority granted a waiver from the application of the CET1 ratio risk indicator to the institution at individual level? | Yes / No | |
| 4A9 | Reporting level of the CET1 ratio risk indicator | Individual/ Sub-consolidated / Consolidated | |
| 4A10 | Name of the parent (only in case of waiver) | Text (255) | |
| 4A11 | LEI code of the parent (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4A13 | LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4A14 | CET1 capital, at the reporting level selected in Field ID 4A9 | Amount (15) | |
| 4A15 | Total Risk Exposure, at the reporting level selected in Field ID 4A9 | Amount (15) | |
| 4A16 | CET1 ratio, at the reporting level selected in Field ID 4A9 | 0.0000 | |

Risk indicator A.iv) Total Risk Exposure divided by Total Assets (TRE/TA)

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|-----------------------------|-------|
| 4A17 | Total assets, at the reporting level selected in Field ID 4A9 | Amount (15) | |
| 4A18 | TRE/TA, at the reporting level selected in Field ID 4A9 | 0.0000 | |

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4. Risk adjustment

Section B. 'Stability and variety of sources of funding' pillar

Articles 6(3), 8 and Annex I Step 1 of Delegated Regulation

Risk indicator B.i) Liquidity Coverage Ratio (LCR)

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|---|-------|
| 4B1 | Has the competent authority granted a waiver from the application of the LCR risk indicator to the institution at individual level? | Yes / No | |
| 4B2 | Reporting level of the LCR risk indicator | Individual/ Sub-consolidated / Consolidated | |
| 4B3 | Name of the parent (only in case of waiver) | Text (255) | |
| 4B4 | LEI code of the parent (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4B5 | LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4B6 | LCR, at the reporting level selected in Field ID 4B2 | 0.0000 | |

Risk indicator B.ii) Net Stable Funding Ratio (NSFR)

| Field ID | Field | Format (maximum characters) | Value |
|----------|--|---|-------|
| 4B7 | Has the competent authority granted a waiver from the application of the NSFR risk indicator to the institution at individual level? | Yes / No | |
| 4B8 | Reporting level of the NSFR risk indicator | Individual/ Sub-consolidated / Consolidated | |
| 4B9 | Name of the parent (only in case of waiver) | Text (255) | |
| 4B10 | LEI code of the parent (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4B11 | LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4B12 | NSFR, at the reporting level selected in Field ID 4B8 | 0.0000 | |

Section C. 'Importance of an institution to the stability of the financial system or economy' pillar

Article 6(4) and Annex I Step 1 of Delegated Regulation

C. 'Share of interbank loans and deposits in the EU' pillar

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|---|-------|
| 4C1 | Has the competent authority granted a waiver from the reporting requirement to the institution at individual level? | Yes / No | |
| 4C2 | Reporting level of the risk indicator | Individual/ Sub-consolidated / Consolidated | |
| 4C3 | Name of the parent (only in case of waiver) | Text (255) | |
| 4C4 | LEI code of the parent (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4C5 | LEI code of the institutions which are part of the (sub-)consolidation (only in case of waiver) | Alphanumeric (20) / Blank (if not applicable) | |
| 4C6 | Total amount of interbank loans at the reporting level selected in Field ID 4C2 | Amount (15) | |
| 4C7 | Total amount of interbank deposits at the reporting level selected in Field ID 4C2 | Amount (15) | |
| 4C8 | Total of reported interbank loans and deposits at the reporting level selected in Field ID 4C2 | Amount (15) | |

Ex-ante contributions to the Single Resolution Fund - reporting form for the 2023 contribution period

4. Risk adjustment

Section D. 'Additional risk indicators to be determined by the resolution authority' pillar

Article 6(5-9) of Delegated Regulation

Additional risk indicator D.i) Trading activities, expressed as Risk exposure amount for market risk on traded debt instruments and equity out of: a) Total Risk Exposure, b) CET1 Capital and c) Total Assets

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|-----------------------------|-------|
| 4D1 | Risk exposure amount for market risk on traded debt instruments and equity, at the reporting level selected in Field ID 4A9 | Amount (15) | |
| 4D2 | a) Divided by Total Risk Exposure | 0.0000 | |
| 4D3 | b) Divided by CET1 Capital | 0.0000 | |
| 4D4 | c) Divided by Total Assets | 0.0000 | |

Additional risk indicator D.ii) Off-balance sheet nominal amount out of: a) Total Risk Exposure, b) CET1 Capital and c) Total Assets

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|-----------------------------|-------|
| 4D5 | Total off-balance sheet nominal amount, at the reporting level selected in Field ID 4A9 | Amount (15) | |
| 4D6 | a) Divided by Total Risk Exposure | 0.0000 | |
| 4D7 | b) Divided by CET1 Capital | 0.0000 | |
| 4D8 | c) Divided by Total Assets | 0.0000 | |

Additional risk indicator D.iii) Total derivative exposures out of: a) Total Risk Exposure, b) CET1 Capital and c) Total Assets

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|-----------------------------|-------|
| 4D9 | Total derivative exposure, at the reporting level selected in Field ID 4A9 | Amount (15) | |
| 4D10 | Of which: derivatives cleared through a central counterparty (CCP), at the reporting level selected in Field ID 4D9 | Amount (15) | |
| 4D11 | a) Divided by Total Risk Exposure | 0.0000 | |
| 4D12 | b) Divided by CET1 Capital | 0.0000 | |
| 4D13 | c) Divided by Total Assets | 0.0000 | |

Additional risk indicator D.iv) Complexity and resolvability

This risk indicator is not yet applicable.

Additional risk indicator D.v) Membership in an Institutional Protection Scheme (IPS)

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|-----------------------------|-------|
| 1C3 | Is the institution member of an 'Institutional Protection Scheme' (IPS)? | Yes / No | |
| 1C4 | Has the competent authority granted the permission referred to in Article 113(7) of the CRR to the institution? (only to fill in if the value to the field above is 'Yes'. Otherwise 'Not applicable') | Yes / No / Not applicable | |
| 4D14 | Name of the IPS (only if Yes above) | Text | |

Additional risk indicator D.vi) Extent of previous extraordinary public financial support

| Field ID | Field | Format (maximum characters) | Value |
|----------|---|---|-------|
| 4D17 | Does the institution benefit from previous extraordinary public financial support (and meet the three conditions specified for this field at the reference date (see definitions and guidance)) ? | Yes / No | |
| 4D18 | For institutions that are part of a group: Name of the EU parent (to fill in even if 'No' above) | Text (255) | |
| 4D19 | For institutions that are part of a group: LEI code of the EU parent (to fill in even if 'No' above) | Alphanumeric (20) / Blank (if not applicable) | |