# Letter to be submitted by a UCITS to the competent authority of its home Member State to de-register the share class(es) of its units marketing in a host Member State under Article 93a(2) Directive 2009/65/EC

De-registration of a share class(es)

IN \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(the host Member State(s))

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# PART 1 Information on the management company or internally managed UCITS

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| **Information on the management company or internally managed UCITS** | |
| Name of the management company or internally managed UCITS |  |
| Management company or internally managed UCITS’ LEI |  |
| National identification code of the management company or internally managed UCITS (if available) |  |
| Management company’s or internally managed UCITS’ home Member State |  |
| Address and registered office/domicile, if different from address |  |
| Details of management company’s or internally managed UCITS’ website |  |

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| **Contact details of the person or department responsible for the notification letter within the management company or internally managed UCITS** | |
| Name of the person or department |  |
| Telephone number |  |
| E-mail address |  |

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| **Details of the third party (if a third party is designated by the management company to make the notification)** | |
| Name of the third party |  |
| Address and registered office/domicile, if different from address |  |
| Name of the person or department to be contacted |  |
| Telephone number |  |
| E-mail address |  |

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| **Contact point for the transmission of the invoice or for the communication of any applicable regulatory fee or charges (if applicable)[[1]](#footnote-1)** | |
| Name of the entity |  |
| Address and registered office/domicile, if different from address |  |
| Name of the person or department to be contacted |  |
| Telephone number |  |
| E-mail address |  |

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| Please specify which email address provided in this section (contact point at the management company or internally managed UCITS, contact point within the appointed third party or contact point for the transmission of the invoice) is the preferred address to which the host NCA can transmit any confidential information (inter alia, login and password to access the national systems for reporting) |  |

# PART 2 Information on the UCITS sub-fund

*Please fill the below table with information for each UCITS sub-fund for which de-registration of share classes of a sub-fund in the host Member State is envisaged, creating a new table for each sub-fund and by indicating only one share class (name and ISIN) on each line. In the case of umbrella UCITS, references to UCITS in the table below shall be understood as referring to the sub-fund and not the umbrella UCITS.*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Name of the UCITS sub-fund | UCITS home Member State | Legal form of the UCITS(1) | LEI of the UCITS | Name of share class(es) to be de-registered in the host Member State(2) | ISIN of share class(es) to be de-registered in the host Member State(2 | National identification code of the UCITS sub-fund | Effective date on which the de-registration takes effect |
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(1) The legal form is one *o*f the following: common fund, unit trust, investment company, or any other legal form available under the national legislation of the UCITS home Member State.

(**2) Please list only** those share classes for which de-registration of arrangements made for marketing is intended.

# Part 3 Blanket offer for the repurchase or redemption of units held by investors in the host Member State

Does the de-notified UCITS have investors in the host Member State?

Yes  No  Information not available

*If the answer to this question is either “Yes” or “Information not available”, this Part has to be filled. If the answer to this question is “No”, this Part does not have to be filled.*

**Section 1. Identification of the entity making the blanket offer**

Please provide the following information in relation to the blanket offer made to repurchase or redeem, free of any charges or deductions, all units held by investors in the host Member State.

Is the blanket offer addressed to investors by the management company of the UCITS or the internally managed UCITS?

Yes  No

*If the blanket offer is addressed to investors through a financial intermediary, please specify the following information.*

The blanket offer will be addressed to investors by:

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A credit institution

An authorised investment firm or adviser

Another entity, including an entity located in a third country. Please specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Section 2. Characteristics of the blanket offer**

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| --- | --- |
| Please indicate the dates, which represent a period of at least 30 working days, during which the blanket offer for the repurchase or redemption of the UCITS will be available |  |

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| --- | --- |
| Please provide details on the means to be used to reach individually all investors holding units in the UCITS (e.g., through emails, phone calls, letter, etc.) |  |

# Part 4 Intention to terminate arrangements made for marketing the units of UCITS

Please provide the following information for each UCITS, compartment or share class for which the de-notification of arrangements made for marketing is envisaged.

|  |  |
| --- | --- |
| Please provide details on how the intention to terminate arrangements made for marketing units of UCITS in the host Member States will be published, including any website, press article or advertisement |  |

\_\_\_\_\_\_\_\_\_\_\_\_ (*name of the signatory*) hereby confirms that the information above is correct, that the price offered to investor for the redemption or repurchase of their units is free of any charge or deduction, and that the arrangements made with financial intermediaries or delegates are amended or terminated as of the date of de-notification in order to prevent any new or further marketing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*host Member State*).

|  |  |
| --- | --- |
| Date |  |
| Name and capacity of the signatory |  |
| Signature |  |

1. Please indicate a single contact point for the transmission of the invoice or for the communication of any applicable regulatory fee or charge referred to in Article 9 of Regulation (EU) 2019/1156 and in Article 2 of the Commission Implementing Regulation (EU) 2021/955. This contact point may be the same as the contact point designated within the management company or internally managed UCITS, or a contact point within an appointed third party. [↑](#footnote-ref-1)