

TEMPORARY RUN OFF REGIME NOTIFICATION FORM[[1]](#footnote-1)

INSURANCE OR ANCILLARY INSURANCE INTERMEDIARY

PURSUANT TO REGULATION 3A (5) OF THE

EUROPEAN UNION (INSURANCE DISTRIBUTION) REGULATIONS 2018 (S.I. No. 229 of 2018) (the Regulations)

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| A person to whom Regulation 3A of the Regulations applies shall, no later than three months from 31 December 2020 , provide the Central Bank of Ireland (the Central Bank) with the following information: | |
| **Part 1: Intermediary[[2]](#footnote-2) Details** | |
| **Information Required** | **Information Provided** |
| * 1. Legal Name of Intermediary: |  |
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| * 1. Address of Intermediary: |  |
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| * 1. Contact Person: |  |
| * 1. Telephone Number: |  |
| * 1. E-mail Address: |  |

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| 1.6 Authorised Classes of Insurance: | Life Insurance Yes No  Non-Life Insurance Yes No |
| * 1. Name of Home Regulator: |  |
| * 1. Intermediary’s Home Regulator Reference Number: |  |
| * 1. Website Address of Home Regulator’s Online Register of Registered Intermediaries: |  |
| * 1. In the case of a tied insurance intermediary, the name of the insurance undertaking or insurance/reinsurance intermediary to which the Intermediary is tied: |  |

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| A person to whom Regulation 3A of the Regulations applies shall, no later than three months from 31 December 2020 (the Relevant Date), provide the Central Bank with the following information: | |
| **Part 2: Conditions for application of Regulation 3B of the Regulations** | |
| **Information Required** | **Information Provided** |
| * 1. Was the Intermediary, immediately before the Relevant Date, registered in the United Kingdom or Gibraltar, under the law of the United Kingdom or Gibraltar giving effect to the Insurance Distribution Directive (Directive 2016/97/EU) (the IDD), to undertake Insurance Distribution Business | Yes No |
| * 1. Had the Intermediary, before the Relevant Date –   (i) established a branch and commenced insurance distribution business in the Republic of Ireland, or  (ii) commenced insurance distribution business in the Republic of Ireland under the freedom to provide services, in accordance with Chapter III of Title I of the IDD? | Yes No  Yes No |
| * 1. Had the Intermediary on or before the Relevant Date, ceased to carry on any insurance distribution business in the Republic of Ireland, other than the administration of insurance contracts entered into on or before that date? | Yes No |
| * 1. Does the Intermediary comply with the general good rules of the Republic of Ireland?   Please see [here](https://www.centralbank.ie/regulation/industry-market-sectors/brokers-retail-intermediaries/guidance) for details. | Yes No |
| * 1. Is the intermediary taking steps to ensure that it will have permanently ceased to carry on insurance distribution business in the Republic of Ireland by the date that is 15 years from the Relevant Date? | Yes No |
| * 1. Does the Intermediary anticipate that it may need to continue to administer insurance contracts entered into, on or before the Relevant Date, in order to terminate activity in the Republic of Ireland after the date that is 15 years from the Relevant Date? | Yes No |
| * 1. If yes to question 2.6, confirm that the Intermediary has taken or will take actions, during the 15 year period, to ensure that it will have the appropriate registration in place to operate in the Republic of Ireland after the date that is 15 years from the Relevant Date? | Yes No |

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| A person to whom Regulation 3A of the Regulations applies shall, no later than three months from 31 December 2020 (the Relevant Date), provide the Central Bank with the following information: | |
| **Part 3: Contact Details** | |
| **Information Required** | **Information Provided** |
| * 1. Details of the person in the management structure of the Intermediary who is responsible for insurance or ancillary insurance distribution: | **Name:** |
| **Address:** |
| **Telephone Number:** |
| **E-mail Address:** |
| **Part 4 Declaration** | |
| The Intermediary hereby:   1. gives notification to the Central Bank, pursuant to Regulation 3A.(5) of the Regulations, of the application of Regulation 3A. of the Regulations to the Intermediary; 2. confirms that it satisfies the conditions set out in Regulation 3A.(1) of the Regulations; 3. certifies that the details and particulars furnished in each part of this notification form are correct; 4. undertakes to notify the Central Bank promptly of any changes to the details and particulars furnished in each part of this notification form, by submitting a new notification form to  **idd.notifications**[**@centralbank.ie**](https://edrms.cbfsai.local/otcsdav/nodes/13113239/mailto_insurance%40centralbank.ie); and 5. undertakes to provide the Central Bank with the information outlined in Form 3B (*Insurance Intermediaries – Temporary Run-Off Regime Reporting Form),* no later than four months from the Relevant Date[[3]](#footnote-3) and annually[[4]](#footnote-4) thereafter. The completed Form 3B should be submitted to [**ribrexitcontingency@centralbank.ie**](https://edrms.cbfsai.local/otcsdav/nodes/13113239/mailto_ribrexitcontingency%40centralbank.ie).   **Signed for and behalf of the Intermediary** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **Full name of signatory in** **block** **capitals**  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_    **Position (senior)[[5]](#footnote-5)** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_    **Date** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |

Please return the completed notification form, and/or notification of any changes to the details and particulars furnished in this notification form, by email to: **idd.notifications**[**@centralbank.ie**](https://edrms.cbfsai.local/otcsdav/nodes/13113239/mailto_insurance%40centralbank.ie)**.**

If you have any queries in relation to the Temporary Run-off Regime please email: **ribrexitcontingency@centralbank.ie.**

**NOTE: The Central Bank may process personal data provided by you in order to fulfil its statutory functions or to facilitate its business operations. Any personal data will be processed in accordance with the requirements of data protection legislation. Any queries concerning the processing of personal data by the Central Bank may be directed to dataprotection@centralbank.ie. A copy of the Central Bank’s Data Protection Notice is available at** [**www.centralbank.ie/fns/privacy-statement**](http://www.centralbank.ie/fns/privacy-statement)**.**

1. Please note that a person who gives to the Central Bank a notification pursuant to a requirement under the Regulations that the person knows or ought reasonably to know is false or misleading in a material particular, or that the person does not believe to be true, commits an offence. [↑](#footnote-ref-1)
2. Intermediary that carries out insurance or ancillary insurance distribution business. [↑](#footnote-ref-2)
3. Four months from the Relevant Date is 30 April 2021. [↑](#footnote-ref-3)
4. Form 3B to be submitted by 30 April 2021 and annually thereafter. The Form 3B is available on the Central Bank’s website. [↑](#footnote-ref-4)
5. A sufficiently senior person in the firm must sign off the notification form. [↑](#footnote-ref-5)