

Banc Ceannais na hÉireann Central Bank of Ireland

Eurosystem

Checklist - Application for Registration Benchmark Administrator Regulation (EU) 2016/1011 of the European Parliament and of the Council

Contents

Notes on Completion	3
Checklist	4
Other Relevant Information	9

NOTES ON COMPLETION

This form includes a checklist of documents you need to send us with your application. Please ensure you have confirmed in all sections that you have attached the forms as necessary. All documents are required in support of your application and these must be sent in conjunction with your application.

Please note we are unable to process the application without all the necessary documentation.

The Information we need for this application

1.1	This 'application for registration' pack consists of below to confirm the forms you have completed an	-
1.1.1	Application for Registration - Benchmark Administra	ator Application Form Attached
1.1.2	This checklist	Completed
1.1.3	Excel Spreadsheet of schedule of benchmarks	Attached
1.2	Supporting documents you are sending with this ap Please tick below the documents you are sending w	-
1.11	Certificate of incorporation	Attached
1.11	Copy of Partnership agreement deeds	Attached
		Not Applicable
1.11	Copy of Limited Liability Partnership agreement deeds	Attached
		Not Applicable
1.11	Copy of deed of incorporation, articles of association or other constitutional documents	Attached
		Not Applicable
1.12	Group structure chart	Attached
		Not Applicable
2.1	Organisational structure chart	Attached
2.2	Terms of reference	Attached
		Summary provided in form

2.4	Procedures for ensuring employees have necessary skills	Attached
		Not Applicable
2.5	Information on how the applicant firm will comply with Annex II paragraph 9(a)	Attached
		Not Applicable
2.7	Form B – Members of the Management Body	Attached
		Number Enclosed
3.1.1	Procedures detailing how current and potential conflicts of interests are identified etc.	Attached
		Not Applicable
3.1.2	Procedures detailing the controls in place in respect of the current or potential conflicts of interest	Attached
		Not Applicable
3.1.3	Procedures detailing the particular circumstances that may apply to the applicant with regard to conflicts of interest	Attached
		Not Applicable
3.2	Inventory of list of material conflicts of interests identified, along with the respective mitigation measures	Attached
		Not Applicable
4.1.1	Policies and procedures detailing information technology systems	Attached

Not Applicable

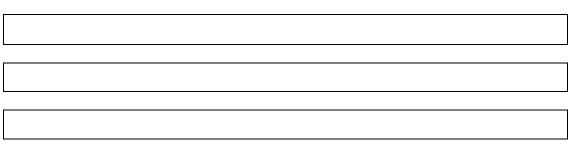
4.1.2	Policies and procedures detailing the risk management process	Attached
		Not Applicable
4.1.3	Policies and procedures detailing the constitution, role and functioning of the oversight function	Attached
		Not Applicable
4.1.4	Policies and procedures detailing the appointment, substitution or removal of individuals responsible for the control framework	Attached
		Not Applicable
4.1.5	Policies and procedures detailing the appointment, substitution or removal of individuals responsible for the accountability framework	Attached
		Not Applicable
4.2	Policies and procedures in relation to the determination and publication of a benchmark on a temporary basis. This should include business continuity and disaster recovery plans.	Attached
		Not Applicable
4.3	Policies and procedures detailing the internal reporting of infringements	Attached
		Not Applicable
5.3	Code of Conduct	Attached
		Not Applicable
5.6	Schedule of Benchmarks	Attached
5.7	Compliance Statement - Annex I	Attached

5.7	Compliance Statement - Annex II	Attached
		Not Applicable
6.1	Policies and procedures detailing the type of input data used	Attached
		Not Applicable
6.1.2	Policies and procedures detailing the criteria for determining who may contribute input data	Attached
		Not Applicable
6.1.3	Policies and procedures detailing how the applicant will ensure input data is sufficient etc.	Attached
		Not Applicable
6.1.4	Policies and procedures detailing the evaluation of the contributor's data	Attached
		Not Applicable
6.3.1	Policies and procedures detailing the measures taken to validate and review the methodology	Attached
		Not Applicable
6.3.2	Policies and procedures detailing the consultation process on any material change in the methodology	Attached
		Not Applicable
7.3	Policies and procedures detailing the oversight of the outsourced activities	Attached
		Not Applicable

8.3	Evidence of paid up share capital and other types of capital	Attached
		Not Applicable
8.4	Relevant capital agreements and contracts	Attached
		Not Applicable
8.8	Surveillance procedures	Attached
		Not Applicable
8.10	Organogram of the surveillance function	Attached

Other Documents

1.3 Please list any other documents you have provided



1.4 Please provide any comments on supporting documents if necessary

Other Information

1.5 If there is anything else you would like to tell us about this application please give details below



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